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an 11-year population-based case–control–control study in Denmark**

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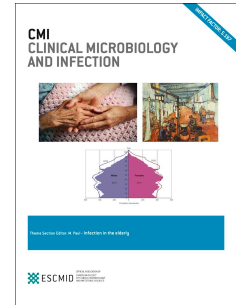
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1 Original article

2

3 **Risk factors of community-onset extended-spectrum β -lactamase *Escherichia coli* and**
4 ***Klebsiella pneumoniae* bacteraemia: An 11-year population-based case-control-control study**
5 **in Denmark**

6

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26 **Abstract**

27 **Objectives:** To investigate and explore temporal changes in risk factors of community-onset
28 extended-spectrum β -lactamase (ESBL) *Escherichia coli* and *Klebsiella pneumoniae* bacteraemia in
29 a region with low antibiotic resistance.

30 **Methods:** Population-based case-control study including 223 cases hospitalized with a first-time
31 community-onset ESBL-producing *E. coli* and *K. pneumoniae* bacteraemia, 2,214 non-ESBL *E.*
32 *coli* and *K. pneumoniae* bacteraemia controls, and 2,228 population controls in the North Denmark
33 Region between, 2007 and 2017. We used conditional logistic regression to compute crude and
34 adjusted (age, gender and comorbidity) odds ratios (aORs) and 95% confidence intervals (CIs) of
35 risk factors and compared selected risk factors between 2007-2011 and 2016-2017.

36 **Results:** Several conventional risk factors of ESBL *E. coli* or *K. pneumoniae* were identified as
37 compared with the population controls. Compared with the non-ESBL controls, use of
38 fluoroquinolones (aOR 3.56 [CI; 2.52-5.05], ≥ 3 admissions within the recent year (aOR 2.18 [CI;
39 1.45-3.28]), ≥ 3 antibiotic prescriptions within 15-365 days prior to the admission (aOR 2.18 [CI;
40 1.53-3.10]), male sex (aOR 2.01 [CI; 1.50-2.69]), admission within 1-91 days (aOR 1.84 [CI; 1.37-
41 2.48]), and antibiotic within 15-91 days (aOR 1.82 [CI; 1.37-2.42]) inferred the highest risk.
42 Assessment of temporal dynamics between 2007-2011 and 2016-2017 revealed a slight reduction in
43 risk factors associated with direct healthcare contact (e.g. hospital admission).

44 **Conclusions:** Recent and frequent hospitalization, and exposure to antibiotics, especially use of
45 fluoroquinolones, appeared to be associated specifically with ESBL-production, and focus and
46 interventions should be directed towards these areas. Our results indicated a dissemination of
47 ESBLs into the community.

48

49 **Introduction**

50 *Escherichia coli* and *Klebsiella pneumoniae* remain the leading causes of gram negative
51 community-onset bloodstream infections [1]. Since the millennium, an increasing proportion of
52 strains capable of producing extended-spectrum β -lactamases (ESBL) has disseminated within the
53 community, challenging empirical antibiotic treatment [2,3].

54 Several studies have reported risk factors associated with ESBL production [4], while fewer
55 studies have focused strictly on community-onset risk factors. The most consistent community-
56 onset risk factors include prior use of (specific) antibiotics [5–13], recent hospitalization [5,7,8],
57 healthcare-associated infection [9,11,13] urological disease [6,8,9] and urinary catheter use [5,7,11].
58 Still, the majority of these studies have been from high prevalence areas, they were of limited size,
59 and only one [6] was population-based. Also, adaptation of risk factors from different settings
60 should be interpreted with caution, as risk factors are highly dependent on local epidemiology [14].
61 Lastly, no studies have ascertained potential temporal changes in risk factors of community-onset
62 ESBL-producing bacteraemia. Theoretically, if ESBL-producing bacteria disseminated within the
63 community, one would expect healthcare associated risk factors to diminish or even vanish with
64 time.

65 Identification of patients at risk of infections with ESBL-producing bacteria upon admission
66 is important to ensure early appropriate empirical antibiotic therapy while at the same time avoiding
67 unnecessary use of broad-spectrum antibiotics. Moreover, knowledge about risk factors is essential
68 to limit the spread of resistant bacteria by establishing optimized preventive measures and isolation
69 strategies.

70 We therefore conducted a population-based study to 1) investigate potential risk factors of
71 community-onset ESBL-producing *E. coli* and *K. pneumoniae* bacteraemia in our area with low

72 ESBL prevalence [15,16] and 2) to explore potential temporal changes in the distribution of risk
73 factors during the study period.

74

75 **Methods**

76

77 *Setting and data sources*

78

79 We conducted this case-control-control study in the North Denmark Region (catchment
80 population 580.000) between January 1, 2007 and December 31, 2017. The region has an 800-bed
81 University Hospital, and eight regional hospitals in 2007 which dwindled down to five by 2017. In
82 Denmark, the healthcare system is providing tax-funded free healthcare access to all residents.

83 We identified all *E. coli* and *K. pneumoniae* positive blood cultures submitted to the
84 Department of Clinical Microbiology at Aalborg University Hospital, which has received samples
85 from all hospitals for almost the entire study period [15]. Next, we obtained data from the following
86 registries; (1) the Danish Civil Registration System (CRS) [17] holding information on sex and date
87 of birth; (2) the Danish National Patient Registry (DNPR) [18] keeping data on date of admission
88 and discharge, discharge diagnoses according to the International Classification of Diseases (ICD)
89 and surgical procedures; (3) the Danish National Prescription Registry (DNPR*) [19] holding
90 information of all redeemed prescription drugs dispensed in Danish community pharmacies,
91 including date and Anatomical Therapeutic Chemical (ATC) classification codes. The unique
92 identification number assigned to every Danish citizens upon birth or immigration was used for
93 unambiguous individual-level record linkage among the registers [17].

94

95 *Study population*

96

97 *Cases*

98 Cases consisted of adult patients (≥ 16 years) with a first-time community-onset ESBL-
99 producing *E. coli* or *K. pneumoniae* bacteraemia. The index date was defined as the day the sample
100 was drawn. Community-onset bacteraemia was defined as a blood sample obtained ≤ 2 days of
101 hospitalization [20,21].

102 Identification of ESBLs roughly corresponded to the ESBL_A classification by Giske et al.
103 [22], hereby excluding a minor proportion (<3%) of plasmid-mediated AmpC. A detailed
104 description of identification of ESBL has previously been published [15].

105

106 *Controls*

107 To disentangle risk factors of bacteraemia from risk factors of bacteraemia *and* infection
108 with an ESBL-producing bacterium we utilized two separate control groups. The first control group
109 consisted of patients with a first-time community-onset non-ESBL-producing *E. coli* or *K.*
110 *pneumoniae* bacteraemia, hereafter referred to as non-ESBL controls. For every case, ten non-ESBL
111 controls were randomly included from the same laboratory information system, matched according
112 to bacterium and time, i.e. controls were recruited within the following three months of the
113 corresponding case.

114 The second control group consisted of persons residing in the North Denmark Region
115 randomly selected from the CRS in a 10:1 ratio matched on age and sex. By matching on age and
116 sex, we were not able to assess these as potential risk factors, however, not matching on these two
117 factors would, in our opinion, hamper optimal comparisons. The population controls were assigned
118 the same index date as the corresponding case.

119 We used risk set sampling for selection of both control groups, i.e. the control had to be
120 alive and at risk of an ESBL-producing *E. coli* and *K. pneumoniae* infections at the index date. A
121 control could only be included once for each case.

122

123 *Potential risk factors and temporal dynamics*

124 The potential risk factors investigated in our study were carefully selected *a priori* based
125 first on the existing literature in prior studies and next on plausible biological mechanisms.
126 Temporal dynamics in risk factors between 2007-2011 and 2016-2017 were also explored. These
127 periods were chosen to obtain a similar number of patients in each period, while 2012-2015
128 comprised a “transition” period allowing for the risk factors “to-go-endemic”. We *a priori* decided
129 to restrict this analysis to common reported risk factors of community-onset ESBL-producing
130 bacteraemia, i.e.; (1) any antibiotic exposure within 15-91 days, (2) hospital admission within 1-91
131 days, (3) ≥ 3 hospital admissions within a year (4) genitourinary tract surgery within a year, and (5)
132 diabetes mellitus.

134

135 *Demographics, comorbidity, and medication*

136 Demographic characteristics (age and gender) were obtained from the CRS [17]. Data
137 regarding comorbidities, genitourinary surgery procedures, and hospital admission was obtained
138 from the DNPR [18]. We included all diagnoses within ten years and identified all comorbidities in
139 the Charlson Comorbidity index (CCI) and constructed a modified CCI (m-CCI) of low (score=0),
140 medium (score=1-2), and high (score ≥ 3) according to the updated version by Quan et al [23].
141 Genitourinary surgery procedures and hospital admission within the recent year were assessed
142 overall and subsequently categorized into time periods, i.e. 1-91 days, 92-182, and 183-365 days
143 prior to index date.

144 From the DNPR* [19], we retrieved information about prescriptions of antibiotics, proton
145 pump inhibitors (PPIs), and immunosuppressant drugs redeemed within one year of the index date.
146 For antibiotics, we excluded prescriptions within 14 days prior to index date, to avoid introducing
147 reverse causality into the study, as these antibiotics were considered likely to be consumed due to
148 the infection leading to hospitalization. Inspired by Sogaard et al. [24], we categorized antibiotics as
149 any antibiotics, narrow- spectrum antibiotics, broad-spectrum antibiotics, narrow- spectrum
150 penicillin, broad-spectrum penicillin, fluoroquinolones, pivmecillinam, sulfamethizole,
151 trimethoprim, macrolides, and nitrofurantoin (**Table S1**). To examine a potential temporal relation,
152 we investigated antibiotics consumed 15-91 days, 92-182, and 183-365 days before the index date,
153 and to examine a potential dose relation we divided into 0, 1, 2, and ≥ 3 antibiotic prescriptions
154 within the previous year. In Denmark, antibiotics are only prescribed at the decision of a physician,
155 and no antibiotics are available over-the-counter. Detailed information on the coding of these
156 variables is available in **Table S1**.

157

158 *Statistical analysis*

159

160 The study population was characterized by descriptive statistics. Conditional logistic
161 regression was used to compute the crude and adjusted (age, gender and comorbidity) odds ratios
162 (ORs) with 95% confidence intervals (CIs). When assessing a specific comorbidity, this
163 comorbidity was excluded from the m-CCI. Finally, we examined for potential temporal dynamics
164 in risk factors between 2007-2011 and 2016-2017. In a sensitivity analysis of antibiotic exposure,
165 we excluded patients with a positive ESBL *E. coli* or *K. pneumoniae* urine culture collected within
166 one year prior to index date. Statistical analyses were performed using Stata 15 (Stata Corp, College
167 Station, TX).

168

169 *Ethics*

170

171 In Denmark, register studies do not require ethical approval. The study was approved by the
172 Danish Patient Safety Authority (reference no: 3-3013-2298/1).

173

174 **Results**

175 We identified 223 cases, 2,214 non-ESBL controls and 2,228 population controls. The
176 median age of cases was 73 years (interquartile range [IQR], 64-82), 66.8% were males, and *E. coli*
177 accounted for the vast majority of bacteraemias (n=189 (84.8%)). Characteristics of the cases and
178 the matched control groups are presented in **Table 1** and **Table 2**. The proportion of community-
179 onset *E. coli* and *K. pneumoniae* bacteraemia with an ESBL-producing strain increased from 2.7%
180 in 2007 to 7.7% in 2016, with an average of 5.6% (data not shown).

181

182 *Risk factors*

183 Compared with the non-ESBL controls, the highest risk of ESBL *E. coli* or *K. pneumoniae*
184 bacteraemia was conferred by ≥ 3 admissions within the recent year (adjusted OR (aOR) 2.18 [CI;
185 1.45-3.28]), male sex (aOR 2.01 [CI; 1.50-2.69]), admission within 1-91 days (aOR 1.84 [CI; 1.37-
186 2.48]) (**Table 3**), fluoroquinolone-treatment (aOR 3.56 [CI; 2.52-5.05]), and ≥ 3 antibiotic
187 prescriptions within 15-365 days (aOR 2.18 [CI; 1.53-3.10]) (**Table 4**). Additional risk factors
188 included a high m-CCI score, use of broad- and -narrow-spectrum antibiotics/penicillins and
189 trimethoprim within 15-365 days.

191

192 Compared with the population controls, the highest aORs comprised ≥ 3 admissions within
193 the recent year (OR 22.48 [CI; 13.35-37.87]), urological procedures within 1-91 days (OR 15.55
194 [CI; 6.46-37.43]), high m-CCI score (OR 15.37 [CI; 10.18-23.19]), and ≥ 3 antibiotic prescriptions
195 (OR 7.11 [4.79-10.57]). Additional risk factors included several comorbidities and treatment with
196 PPIs, immunosuppressant drugs and antibiotics. Time since antibiotic prescription, admission, and
197 urological surgical procedures were associated with considerable risk of ESBL bacteraemia, and a
198 “dose-response” relationship regarding number of antibiotic prescriptions/admission and ESBL
199 bacteraemia was apparent.

200 In the sensitivity analysis, in which patients with a prior positive ESBL-producing urine
201 culture (n=52, 23.3% of patients with ESBL-bacteraemia) were excluded, the association with
202 antibiotic exposure as a risk factor was slightly altered, thus the association with trimethoprim
203 seemed to disappear, while macrolides now appeared to be associated with ESBL-production (OR
204 1.76 [1.13-2.73]) (**Table S2**).

205

206 *Temporal dynamics in risk factors*

207

208 Fifty-nine and 61 ESBL cases were included in the time periods 2007-2011 and 2016-2017,
209 respectively. Recent hospital contact (admission and genitourinary surgery) as a risk factor of
210 ESBL-producing bacteraemia seemed to diminish with time when compared with both non-ESBL
211 and population controls (**Figure 1**). Recent prescription of antibiotics as a risk factor seemed to
212 increase, whereas diabetes mellitus remained unchanged.

213 Discussion

214 We identified several risk factors of bacteraemia with ESBL-producing pathogens, however,
215 the majority were related merely to the risk of bacteraemia in general, and only male sex, a high
216 comorbidity score, recent and frequent hospitalization, and antibiotic exposures were associated
217 with risk of ESBL *E. coli* or *K. pneumoniae* bacteraemia specifically. However, risk factors
218 associated with direct healthcare contact (e.g. recent and frequent hospital admission) decreased
219 during the study period, which might indicate a dissemination of ESBL-positive pathogens into the
220 community.

221 A recent nationwide Swedish study [6] demonstrated multiple co-morbidities, urological
222 procedures, hospitalization, and recent exposure to antibiotics to be risk factors of ESBL-producing
223 Enterobacteriaceae bacteraemia as compared with matched population controls [6]. We confirmed
224 most of these findings in our study. However, in the Swedish study, no non-ESBL comparison
225 group was included, rendering it difficult to disentangle the specific influence of ESBL-production
226 on risk factors. Of notice, the magnitude of the association in general was markedly reduced when
227 compared to the non-ESBL controls, indicating that the associations observed were primarily driven
228 by the risk of bacteraemia. Our study thus extends the Swedish study by identifying risk factors that
229 might be specific mediators of ESBL-production.

230 Other studies have identified prior use of (specific) antibiotics [5–13,25], as risk factors of
231 community-onset ESBL bacteraemia. Most of these studies have utilized a case-control design with
232 the susceptible (non-ESBL-producing) strain as control group. However, the use of a control group
233 with susceptible bacteria has some obvious pitfalls, that may lead to falsely overestimated ORs of
234 antibiotic exposure as a risk factor [26,27]. In our study, both broad -and narrow-spectrum
235 antibiotics and penicillins, and especially fluoroquinolones, were associated with ESBL-production
236 compared with the non-ESBL controls. These remained risk factors when compared with the

237 population controls, indicating that they were indeed associated with ESBL-producing bacteraemia.
238 We were not able to demonstrate nitrofurantoin as a risk factor of ESBL-producing bacteraemia
239 which has previously been associated with ESBL-producing *E. coli* urinary tract infection from
240 primary care in our region [24]. In the sensitivity analysis excluding patients with a prior ESBL-
241 positive urine culture (**Table S2**) any association with nitrofurantoin was further diminished,
242 indicating that the finding by Søggaard et al., might partly reflect a reverse causation, i.e.
243 nitrofurantoin is prescribed to patients with confirmed (or at high risk of) ESBL-producing
244 infections rather than mediate resistance [24]. Recent (within 15-91 days) antibiotic exposure and in
245 particular fluoroquinolones conferred the highest ORs, strengthening the findings by Isendahl et al
246 [6].

247 We investigated temporal dynamics in risk factors between 2007-2011 and 2016-2017,
248 where the proportion of ESBL-producing *E. coli* and *K. pneumoniae* bacteraemia increased from
249 4.8% to 7.2% (data not shown). Interestingly, the finding that indicators of direct healthcare contact
250 slightly decreased with time, while risk factors not directly related to hospital contact (e.g. diabetes
251 mellitus and outpatient antibiotic consumption) remained unchanged or even increased, could
252 indicate ESBL *E. coli* or *K. pneumoniae* disseminating in the community (**Figure 1**). Nonetheless,
253 recent and frequent hospital admissions remained risk factors of ESBL production also in 2016-
254 2017. To our knowledge, this is the first study to examine temporal dynamics in risk factors of
255 community-onset ESBL-producing bacteraemia. This information may be of considerable value in
256 identifying targets and modifiable risk factors to influence the effect of preventive measures.

257 Strengths of the present study include the population-based design in a setting of tax-
258 supported healthcare access, hereby limiting diagnostic and referral bias. The use of pre-existing
259 national registers limits selection and recall bias, and most bias would tend to be non-differential

260 with respect to the study groups. Finally, the use of two control groups allowed for separate
261 interpretation of risk factors associated with “ESBL-production” or/and “bacteraemia”.

262 The study also has some limitations besides its observational nature, which cannot rule out
263 unmeasured or residual confounding. We lacked information not available through our registers,
264 especially on use of in-hospital antibiotics (which might have resulted in a falsely low level of
265 exposure impacting our results), residency in nursing homes, catheter use and data on international
266 travel which was previously shown to be risk factors of ESBL production [11,28,29]. We decided to
267 include both *E. coli* and *K. pneumoniae* into the analyses, as we believe this resembles the clinical
268 situation, where it is often difficult to distinguish the two pathogens before clinical microbiology
269 results are available. However, we acknowledge that *E. coli* and *K. pneumoniae* bacteraemia
270 constitutes quite different epidemiological entities [30]. Sensitivity analyses of risk factors
271 restricting to individual pathogens are available in supplementary material (**Table S3-Table S6**).
272 Interestingly, when compared with non-ESBL controls, both β -lactam antibiotics and most non- β -
273 lactam antibiotics (fluoroquinolones, trimethoprim, macrolides) were associated with ESBL
274 production in *E. coli* (**Table S6**), whereas only pivmecillinam appeared to be a risk factor of ESBL
275 production in *K. pneumoniae* (**Table S4**).

276 In conclusion, recent and frequent hospitalization and antibiotic exposure, specifically
277 fluoroquinolones appeared to be associated with risk of ESBL-producing bacteraemia, highlighting
278 targets for focus and interventions. We demonstrated temporal dynamics in risk factors indicating a
279 possible dissemination of ESBL *E. coli* or *K. pneumoniae* into the community.

280

281

282 **Transparency declaration**

283

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285 study.

286

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288

289 None.

290

291 **Authors' contributions**

292

293 RR: study concept and design, data management, analysis and interpretation, and
294 manuscript preparation. PLA: data management, analysis and interpretation, and manuscript review.
295 JS, HCS, HN: study concept and design, interpretation of the data, manuscript review, and study
296 supervision. All the authors have read and approved the final draft submitted.

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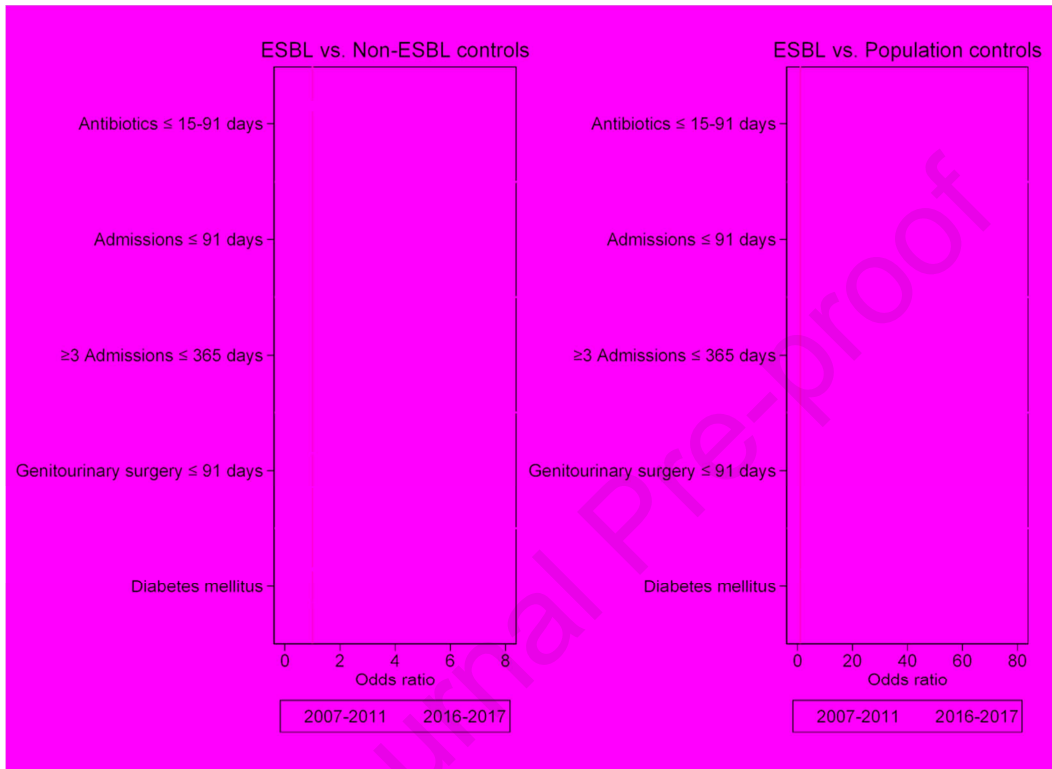
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406 **Figures**

407 **Figure 1.** Temporal dynamics in crude risk factors of ESBL-producing *E. coli* and *K. pneumoniae*
408 bacteraemia compared to non-ESBL controls (left) and population controls (right), between 2007-
409 2011 and 2016-2017.



410

411 **Tables**

412 **Table 1.** Baseline characteristics of ESBL-producing *E. coli* or *K. pneumoniae* bacteraemia, non-
 413 ESBL controls, and population controls in the period 2007-2017.

	ESBL	Non-ESBL	Population
	n (%)	n (%)	n (%)
Numbers	223	2,214	2,228
<i>Species</i>			
<i>E. coli</i>	189 (84.8)	1,887 (85.3)	- ¹
<i>K. pneumoniae</i>	34 (15.3)	327 (14.8)	- ¹
<i>Demographic</i>			
Age, median [IQR]	73 [64-82]	74 [63-82]	73 [64-82] ¹
Age, years			
≤65	62 (27.8)	646 (29.2)	624 (28.0)
66-80	102 (45.7)	924 (41.7)	991 (44.5)
≥81	59 (26.5)	644 (29.9)	611 (27.5)
Sex, male	149 (66.8)	1,085 (49.0)	1,488 (66.8) ¹
<i>Comorbidities</i>			
Myocardial infarction	16 (7.2)	147 (6.6)	132 (5.9)
Congestive heart failure	29 (13.0)	220 (9.9)	114 (5.1)
Dementia	10 (4.5)	78 (3.5)	31 (1.4)
Chronic pulmonary disease	45 (20.2)	370 (16.7)	171 (7.7)
Ulcer disease	11 (4.9)	143 (6.5)	53 (2.4)
Diabetes²	45 (20.2)	347 (15.7)	151 (6.8)
Moderate or severe renal disease	25 (11.2)	184 (8.3)	38 (1.7)

Any malignancy (including leukemia and lymphoma)	75 (33.6)	640 (28.9)	204 (9.2)
Moderate to severe liver disease	6 (2.7)	30 (1.4)	5 (0.2)
Metastatic solid tumor	17 (7.6)	141 (6.4)	12 (0.5)
m-CCI			
Low (CCI=0)	70 (31.4)	913 (41.2)	1,684 (75.6)
Medium (CCI=1-2)	91 (40.8)	821 (37.1)	438 (19.7)
High (CCI≥3)	62 (27.8)	480 (21.7)	106 (4.8)
Medication³			
Proton-pump-inhibitors	113 (50.7)	982 (44.4)	488 (21.9)
Immunosuppressant treatments	49 (22.0)	439 (19.8)	169 (7.6)
Hospitalization			
Admission within			
1 -91 days	119 (53.4)	797 (36.0)	127 (5.7)
92-182 days	67 (30.0)	513 (23.2)	136 (6.1)
183-365 days	85 (38.1)	642 (29.0)	228 (10.2)
Number of admissions within 1 year			
0	61 (27.4)	938 (42.4)	1,832 (82.2)
1	63 (28.3)	536 (24.2)	258 (11.6)
2	28 (12.6)	296 (13.4)	86 (3.9)
≥3	71 (31.8)	444 (20.1)	52 (2.3)
Urological procedures within			
1-91 days	21 (9.4)	144 (6.5)	12 (0.5)
92-182 days	8 (3.6)	56 (2.5)	11 (0.5)

183-365 days

6 (2.7)

61 (2.8)

21 (0.9)

414 ¹Population controls matched according to age, gender and residence.

415 ²Diabetes with – and without organ complications.

416 ³Within 1-365 days of index date.

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417 **Table 2.** Antibiotic exposure for community-onset ESBL-producing *E. coli* or *K. pneumoniae*
 418 bacteraemia, non-ESBL controls, and population controls in the period 2007-2017.

	ESBL	Non-ESBL	Population
	n (%)	n (%)	n (%)
Any Antibiotics¹	160 (71.7)	1327 (59.9)	707 (31.7)
Broad-spectrum antibiotics	120 (53.8)	891 (40.2)	342 (15.4)
Narrow-spectrum antibiotics	122 (54.7)	960 (43.4)	519 (23.3)
Broad-spectrum penicillin	58 (26.0)	420 (19.0)	183 (8.2)
Narrow-spectrum penicillin	82 (36.8)	622 (28.1)	344 (15.4)
Fluoroquinolones	58 (26.0)	420 (19.0)	60 (2.7)
Pivmecillinam	56 (25.1)	572 (25.8)	149 (6.7)
Sulfamethizole	22 (9.9)	194 (8.8)	67 (3.0)
Trimethoprim	21 (9.4)	133 (6.0)	32 (1.4)
Macrolides	32 (14.3)	235 (10.6)	126 (5.7)
Nitrofurantoin	11 (4.9)	87 (3.9)	21 (0.9)
Any antibiotics within			
15-91 days	105 (47.1)	743 (33.6)	233 (10.5)
92-182 days	87 (39.0)	654 (29.5)	288 (12.9)
183-365 days	102 (45.7)	819 (37.0)	466 (20.9)
Number of AB prescriptions¹			
0	63 (28.3)	887 (40.1)	1,521 (68.3)
1	37 (16.6)	438 (19.8)	354 (15.9)
2	32 (14.4)	279 (12.6)	162 (7.3)
≥3	91 (40.8)	610 (27.6)	191 (8.6)

419 ¹Redeemed prescription(s) within 15-365 days of index date. In-hospital dispensed antibiotics are not included.

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420 **Table 3.** Risk factors of community-onset ESBL-producing *E. coli* or *K. pneumoniae* bacteraemia
 421 compared with non-ESBL controls and population controls.

	OR for ESBL vs. non-ESBL		OR for ESBL vs. population controls	
	(95% CI)		(95% CI)	
	Crude	Adjusted ¹	Crude	Adjusted ¹
<i>Demographics</i>				
Age				
≤65	1.00 (ref)	1.00 (ref)	-. ²	-. ²
66-80	1.16 (0.83-1.61)	0.98 (0.70-1.38)	-	-
≥81	0.95 (0.66-1.38)	0.86 (0.59-1.26)	-	-
Sex, male	2.08 (1.56-2.78)	2.01 (1.50-2.69)*	-	-
<i>Comorbidities</i>				
Myocardial infarction	1.09 (0.64-1.87)	0.94 (0.54-1.62)	1.24 (0.71-2.15)	0.65 (0.36-1.17)
Congestive heart failure	1.36 (0.90-2.06)	1.34 (0.87-2.06)	2.79 (1.80-4.31)	1.82 (1.13-2.93)*
Dementia	1.29 (0.66-2.53)	1.31 (0.66-2.61)	3.47 (1.64-7.32)	3.85 (1.68-8.80)*
Chronic pulmonary disease	1.28 (0.90-1.81)	1.23 (0.86-1.76)	3.11 (2.15-4.51)	2.56 (1.72-3.82)*
Ulcer disease	0.76 (0.40-1.42)	0.75 (0.40-1.42)	2.14 (1.01-4.17)	1.46 (0.70-3.04)
Diabetes³	1.36 (0.96-1.92)	1.29 (0.91-1.83)	3.51 (2.43-5.09)	2.22 (1.47-3.34)*
Moderate or severe renal disease	1.39 (0.89-2.17)	1.25 (0.79-1.96)	7.10 (4.20-12.00)	3.61 (1.99-6.54)*
Any malignancy (including leukemia and lymphoma)	1.24 (0.93-1.67)	1.11 (0.82-1.50)	5.16 (3.74-7.13)	4.30 (3.02-6.12)*
Moderate to severe liver disease	2.01 (0.83-4.88)	1.56 (0.63-3.86)	12.00 (3.67-39.32)	6.48 (1.72-24.43)*
Metastatic solid tumor	1.20 (0.71-2.09)	1.05 (0.61-1.79)	14.17 (6.77-29.66)	5.48 (2.51-11.96)*
m-CCI				
Low (CCI=0)	1.00 (ref)	1.00 (ref)	1.00 (ref)	1.00 (ref)
Medium (CCI=1-2)	1.46 (1.05-2.02)	1.38 (0.99-1.92)	5.71 (4.04-8.08)	5.74 (4.05-8.11)*

High (CCI\geq3)	1.69 (1.18-2.42)	1.55 (1.08-2.24)*	15.39 (10.20-23.22)	15.37 (10.18-23.19)*
Medication⁴				
PPI	1.28 (0.97-1.68)	1.23 (0.92-1.62)	3.81 (2.85-5.09)	2.80 (2.05-3.82)*
Immunosuppressant treatments	1.14 (0.82-1.59)	1.05 (0.74-1.49)	3.50 (2.44-5.01)	1.85 (1.24-2.75)*
Hospitalization				
Admission within				
1-91 days	2.06 (1.56-2.73)	1.84 (1.37-2.48)*	20.89 (14.54-29.99)	12.78 (8.67-18.82)*
92-182 days	1.43 (1.05-1.93)	1.26 (0.91-1.73)	6.62 (4.70-9.31)	3.34 (2.29-4.87)*
183-365 days	1.51 (1.13-2.01)	1.37 (1.01-1.86)*	5.74 (4.19-7.86)	3.05 (2.15-4.33)*
Number of admissions within 1 year				
0	1.00 (ref)	1.00 (ref)	1.00 (ref)	1.00 (ref)
1	1.82 (1.26-2.64)	1.70 (1.15-2.49)*	8.38 (5.63-12.47)	5.76 (3.79-8.74)*
2	1.47 (0.92-2.36)	1.36 (0.84-2.23)	9.84 (5.86-16.52)	6.10 (3.53-10.53)*
\geq3	2.51 (1.74-3.62)	2.18 (1.45-3.28)*	42.62 (26.42-68.77)	22.48 (13.35-37.87)*
Urological procedures within				
1-91 days	1.50 (0.92-2.43)	1.40 (0.86-2.28)	20.03 (9.41-42.64)	15.55 (6.46-37.43)*
92-182 days	1.43 (0.68-3.04)	1.27 (0.59-2.72)	8.10 (3.10-21.16)	4.53 (1.57-13.03)*
183-365 days	0.98 (0.42-2.29)	0.96 (0.40-2.26)	3.04 (1.18-7.82)	2.70 (0.96-7.61)

422 Note: Asterisks (*) indicate a possible association in the adjusted analysis.

423 ¹ Adjusted for age, gender and comorbidity.

424 ² Population controls matched according to age, gender and residence.

425 ³ Diabetes with – and without organ complications.

426 ⁴ Within 1-365 days of index date.

427 **Table 4.** Antibiotic exposure as risk factor of community-onset ESBL-producing *E. coli* or *K.*
 428 *pneumoniae* bacteraemia compared with non-ESBL controls and population controls.

	OR for ESBL vs. non-ESBL (95% CI)		OR for ESBL vs. population controls (95% CI)	
	Crude	Adjusted ¹	Crude	Adjusted ¹
Antibiotics²				
Any antibiotics vs. none	1.70 (1.25-2.30)	1.72 (1.26-2.35)*	5.78 (4.23-7.90)	3.99 (2.86-5.56)*
Broad-spectrum antibiotics	1.73 (1.31-2.28)	1.77 (1.33-2.36)*	6.80 (5.05-9.15)	4.34 (3.14-6.01)*
Narrow-spectrum antibiotics	1.59 (1.20-2.11)	1.59 (1.20-2.12)*	4.15 (3.11-5.54)	3.24 (2.37-4.44)*
Broad-spectrum penicillin	1.50 (1.09-2.06)	1.45 (1.04-2.00)*	3.96 (2.82-5.55)	2.28 (1.57-3.31)*
Narrow-spectrum penicillin	1.49 (1.12-1.99)	1.43 (1.07-1.91)*	3.24 (2.40-4.37)	2.43 (1.75-3.38)*
Fluoroquinolones	3.78 (2.69-5.31)	3.56 (2.52-5.05)*	12.58 (8.39-18.87)	9.96 (6.23-15.95)*
Pivmecillinam	0.95 (0.70-1.31)	1.01 (0.73-1.40)	5.23 (3.62-7.56)	3.44 (2.30-5.16)*
Sulfamethizole	1.14 (0.71-1.82)	1.29 (0.80-2.09)	3.86 (2.27-6.56)	3.04 (1.70-5.45)*
Trimethoprim	1.62 (1.00-2.62)	1.74 (1.06-2.84)*	7.93 (4.33-14.51)	7.61 (3.81-15.22)*
Macrolides	1.41 (0.95-2.11)	1.47 (0.98-2.20)	2.80 (1.85-4.25)	2.29 (1.44-3.64)*
Nitrofurantoin	1.27 (0.67-2.41)	1.32 (0.69-2.53)	5.81 (2.70-12.52)	4.64 (1.96-10.98)*
Any antibiotics within				
15-91 days	1.78 (1.34-2.35)	1.82 (1.37-2.42)*	8.19 (6.00-11.19)	6.03 (4.29-8.47)*
92-182 days	1.53 (1.15-2.04)	1.53 (1.14-2.06)*	4.47 (3.30-6.06)	2.89 (2.08-4.01)*
183-365 days	1.43 (1.09-1.89)	1.41 (1.06-1.88)*	3.21 (2.41-4.26)	2.25 (1.64-3.07)*
Number of AB prescriptions²				
0	1.00 (ref)	1.00 (ref)	1.00 (ref)	1.00 (ref)
1	1.19 (0.78-1.81)	1.21 (0.79-1.84)	2.65 (1.72-4.07)	2.01 (1.27-3.17)*

2	1.63 (1.04-2.55)	1.69 (1.07-2.66)*	5.12 (3.22-8.15)	3.97 (2.42-6.50)*
≥ 3	2.10 (1.50-2.95)	2.18 (1.53-3.10)*	12.01 (8.33-17.31)	7.11 (4.79-10.57)*

429 Note: Asterisks (*) indicate a possible association in the adjusted analysis.

430 ¹ Adjusted for age, gender and comorbidity.

431 ² Redeemed prescriptions within 15-365 days of index date. In-hospital dispensed antibiotics are not included.

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