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## Direct Detection Phenomenology in Models Where the Products of Dark Matter Annihilation Interact with Nuclei

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We investigate the direct detection phenomenology of a class of dark matter (DM) models in which DM does not directly interact with nuclei, but rather, the products of its annihilation do. When these annihilation products are very light compared to the DM mass, the scattering in direct detection experiments is controlled by relativistic kinematics. This results in a distinctive recoil spectrum, a nonstandard and/or even absent annual modulation, and the ability to probe DM masses as low as a  $\sim 10$  MeV. We use current LUX data to show that experimental sensitivity to thermal relic annihilation cross sections has already been reached in a class of models. Moreover, the compatibility of dark matter direct detection experiments can be compared directly in  $E_{\min}$  space without making assumptions about DM astrophysics, mass, or scattering form factors. Lastly, when DM has direct couplings to nuclei, the limit from annihilation to relativistic particles in the Sun can be stronger than that of conventional nonrelativistic direct detection by more than 3 orders of magnitude for masses in a 2–7 GeV window.

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*Introduction.*—While very little is known about dark matter (DM), its cosmological abundance is experimentally quite well determined:  $\Omega_{\text{CDM}}h^2 = 0.1199 \pm 0.0027$  [1]. An appealing framework for understanding the relic abundance of DM is thermal freeze-out [2]. Number-changing interactions in the early universe,  $\bar{X}X \leftrightarrow (\overline{\text{SM}})\text{SM}$  keep DM in thermal equilibrium with the Standard Model (SM) bath, until the rate of these annihilation processes drops below the rate of Hubble expansion. After this point, the abundance of DM is essentially fixed at,  $\Omega_{\text{CDM}}h^2 \simeq 0.12(6 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1} / \langle \sigma_{\text{ann}} v_{\text{rel}} \rangle)$ , singling out a characteristic annihilation cross section  $\langle \sigma_{\text{ann}} v_{\text{rel}} \rangle$  for thermally produced DM to yield the observed abundance. This scenario is attractive in that it provides a simple and elegant framework for the relic abundance that can be tested in a variety of ways, including direct detection (DD) [3]. However, current constraints from DD rule out many of the simplest models of thermal relic DM, which may indicate a modification of the above picture.

In this Letter, we investigate a modification of thermal DM which alleviates the tension between DD constraints and the thermal relic hypothesis, while making unique predictions for DD. In particular, we take the abundance of DM,  $X$ , to be determined by the annihilation process  $\bar{X}X \leftrightarrow \bar{Y}Y$ , where  $Y$  is a much lighter dark sector (DS) species. The interactions of the dark sector state  $Y$  with ordinary nuclei allows for a unique test of the scenario at DD experiments. The resulting DD phenomenology of this class of models is distinctive, owing to the fact that (1) the scattering partner of the nucleus is relativistic, rendering the kinematics of scattering completely different, and (2) it is the flux of the scattering partner  $Y$  that determines the rate

of events at a detector rather than  $X$ . Both of these features have novel consequences not considered in the literature of “model-independent” direct detection analyses [4,5].

As loop processes will always engender scattering of  $X$  on nuclei at DD, we will focus on DM masses less than  $\sim \text{GeV}$  such that the nonrelativistic scattering of  $X$  does not produce detectable nuclear recoils above a detector’s  $\mathcal{O}(\text{keV})$  threshold. Similar scenarios have recently been investigated in [6–9] with a focus on the Cherenkov signals at Super-Kamiokande and IceCube.

In this Letter, we employ current LUX [10] limits to demonstrate that DD experiments are sensitive to thermal relic annihilation cross sections for galactic center annihilation of DM in a window of DM masses from 10 MeV to 1 GeV. Direct detection has historically been muddled by multiple conflicting data sets. To combat this, we illustrate how current and future direct detection data can be easily analyzed for compatibility in this framework by mapping results to  $E_{\min}$  space. Additionally, we investigate the testability of such relativistic scattering models where the signal is dominated by DM accretion and annihilation within the Sun.

*Annihilating DM in the Galactic center.*—Two potential sources of DM annihilation are annihilation from the Galactic center and annihilation within the Sun. A key difference between these two is that the latter relies on a stable balance between the accretion and evaporation rates of DM interacting with nucleons inside the Sun. We first consider Galactic center annihilation since this does not require a build up of DM in the Sun and, hence, requires fewer assumptions.

For simplicity, consider “two-to-two” annihilation,  $\bar{X}X \rightarrow \bar{Y}Y$ . Then the differential rate (per unit detector mass) at a direct detection experiment is

$$\frac{dR}{dE_R} = \frac{\Phi_Y}{m_N} \int_{E_{\min}(E_R)}^{\infty} dE_Y \frac{dN}{dE_Y} \left( \frac{d\sigma_{YN}}{dE_R} \right), \quad (1)$$

where  $\Phi_Y$  is the local flux of  $Y$ 's,  $E_{\min}(E_R) = \sqrt{m_N E_R}/2$  is the minimum energy to produce a recoil of energy  $E_R$ , and  $dN/dE_Y = 2\delta(E_Y - m_X)$ .

For simplicity, we adopt a contact interaction between  $Y$  and a quark  $q$  of the form  $\mathcal{O}_{qY} = G_Y (\bar{Y} \gamma_\mu Y) (\bar{q} \gamma^\mu q)$  where  $G_Y$  is the effective coupling. By analogy with neutrino-nucleus elastic scattering, [Note that, in [11], it was estimated that the inelastic scattering cross section is small at low energies compared to the elastic cross section,  $\sigma(\text{elastic})/\sigma(\text{inelastic}) \sim A^2/(E_Y^4 R_N^4)$ , where the nuclear radius is,  $R_N \sim (10 \text{ MeV})^{-1}$ . Thus, for a Xenon target nucleus, inelastic scattering is subdominant for  $E_Y \lesssim \text{GeV}$ . Given our focus on sub-GeV DM, we will ignore inelastic processes in this Letter.] the differential cross section is [11]

$$\frac{d\sigma_{YN}}{dE_R} = \frac{G_Y^2}{2\pi} A^2 m_N F^2(E_R) \left[ 1 - \left( \frac{E_{\min}}{E_Y} \right)^2 \right], \quad (2)$$

where  $F(E_R)$  is Helm's nuclear form factor [12], and the  $A^2$  coefficient is for the coherent enhancement of scattering with equal rates on protons and neutrons.

Next, we must determine the local flux of  $Y$ . The flux of  $Y$  particles from DM annihilation in the Galactic center is estimated as [7],  $\Phi_Y = 1.6 \times 10^{-22} \text{ cm}^{-2} \text{ s}^{-1} (\langle \sigma_{\bar{X}X \rightarrow \bar{Y}Y} v_{\text{rel}} \rangle / 5 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1}) (20 \text{ MeV}/m_X)^2$ .

Given this flux, and a model of  $Y$ -nucleus interactions, the only remaining parameter to determine is the annihilation cross section, which we take to be a free parameter, to be determined from data. First, let us take a minimal choice by relying on the SM to furnish the interactions of  $Y$  with the nucleus. This immediately singles out the neutrinos as the only SM possibility for  $Y$ . The elastic, spin-independent scattering of SM neutrinos with nuclei can be computed using Eq. (2) with the replacement,  $G_Y A^2 \rightarrow G_F (N/2)^2$ , where  $N$  is the number of neutrons and  $G_F$  is the Fermi constant. We see, in Fig. 1, that, with present LUX data, the resulting sensitivity to the annihilation cross section is weak, being orders of magnitude away from thermal relic sensitivity.

On the other hand, DM could well annihilate to non-SM particles that have larger than electroweak-size interactions. Two generic classes of models serve as examples: models of gauged baryon number [17–26] and so-called “Higgs portal” models [27–36]. Gauged baryon number is motivated by the stability of the proton, which, in the SM, remains a mystery and may indicate that baryon number is, in fact, a gauge symmetry. This is one of the few phenomenologically viable “portals” connecting the dark and visible sectors, as it does not violate any of the approximate symmetries of the SM. In addition, the

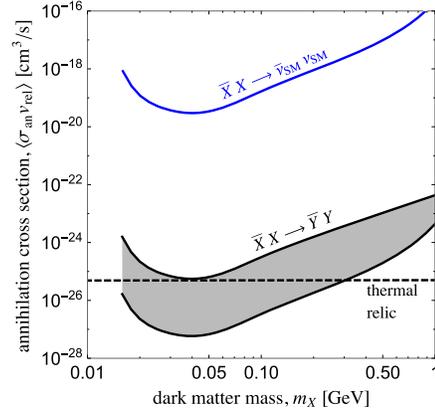


FIG. 1 (color online). LUX Limits on  $\bar{X}X \rightarrow \bar{\nu}_{\text{SM}} \nu_{\text{SM}}$ , for which  $G_Y = G_F = 1.2 \times 10^{-5} \text{ GeV}^{-2}$ , and a model in which DM annihilates to relativistic pairs  $\bar{Y}Y$  which scatter on nuclei,  $YN \rightarrow YN$ , via Eq. (4). In the shaded gray band, we vary  $G_Y$  in the interval  $(7 \times 10^3 - 5 \times 10^2) G_F$ . Propagation effects have been accounted for in determining the flux of  $Y$  at the underground site of the LUX detector (see Supplemental Material [13]).

Higgs portal,  $\mathcal{L} \supset |\phi|^2 |H|^2$  (where  $H$  is the SM Higgs boson and  $\phi$  a dark sector scalar), represents a rather generic possibility for connecting the dark and visible sectors. In this case, it is natural for scattering on nuclei to be enhanced relative to electrons since the couplings scale with the SM-Higgs Yukawa couplings.

For illustration, we can make use of a simplified model for quark- $Y$  interactions via the exchange of a light vector  $V_\mu$  or scalar  $\phi$

$$\mathcal{L}_V \supset \phi^\mu (g_q \bar{q} \gamma_\mu q + g_Y \bar{Y} \gamma_\mu Y), \quad (3)$$

$$\mathcal{L}_S \supset \phi (g_q \bar{q} q + g_Y \bar{Y} Y), \quad (4)$$

where  $g_Y, g_q$  are the couplings of SM quarks and  $Y$  to the mediator. In terms of these couplings, the effective parameter is,  $G_Y = (g_q g_Y)/m_\phi^2$ , and the relevant constraints for the two models are discussed, respectively, in the Supplemental Material [13].

As a benchmark, we take  $G_Y$  in Fig. 1 to vary in the interval  $(7 \times 10^3 - 5 \times 10^2) G_F$ . We highlight that the values of  $G_Y$  are well within the constraints allowed by “missing energy” collider limits [37,38]. Larger  $G_Y$  are permitted by collider limits, though the flux of  $Y$  particles becomes strongly suppressed (see the Supplemental Material [13] for a discussion of this effect). Nonetheless, we see, in Fig. 1, that models of this type are already being probed by direct detection and can, in particular, exclude thermal relics in the 10 MeV–0.5 GeV window, yielding a novel probe of thermal DM. Future constraints will cut further into thermal relic territory.

It is important to observe that annihilation of DM to relativistic states from the galactic center predicts no

sizeable annual modulation. In the case of annihilation from the Sun, however, the annual modulation is known to peak in January due to the eccentricity of the Earth’s orbit. Thus, solar neutrino signals in direct detection experiments predict a nearly maximally “wrong” phase (We note that the phase can be reversed for heavy DM at a high threshold experiment.) with respect to the expectation from light nonrelativistic DM of June 2nd [39]. This expectation can be violated, however, when the annihilation product  $Y$  experiences flavor oscillations on  $\mathcal{O}(\text{AU})$  length scales as in, for example, [11,40,41] though this requires very small mass splittings,  $\Delta m^2 \sim 10^{-10} \text{ eV}^2$ .

It is important to observe that the ability to scatter on nuclei does not induce any physics which would allow  $Y$  to decay. Given the generic stability of both  $X$  and  $Y$ , we must be sure that their total abundance does not exceed the observed value,  $\Omega_{\text{CDM}} h^2 \approx 0.2$ . For simplicity, we will work in the limit that  $Y$  forms a subdominant component, i.e.,  $\Omega_Y \ll \Omega_X \approx \Omega_{\text{CDM}}$ . This can be naturally arranged when  $Y$  is similar to a neutrino and freezes out when it is relativistic, i.e.,  $m_Y \lesssim \text{eV}$ . Nonrelativistic freeze-out of  $Y$  can also lead to a small relic abundance when its annihilation cross section is large [7].

Another potential constraint on these models is the additional radiation energy density they generate during the big bang, parametrized by,  $\Delta N_{\text{eff}} = \rho_Y/\rho_\nu = g_Y T_Y^4/g_\nu T_\nu^4$ , where the photon and neutrino temperatures are related by  $T_\nu = (4/11)^{1/3} T_\gamma$ . Because of the annihilation of degrees of freedom from the standard model plasma, the temperature of the dark sector relative to the standard model sector is suppressed via dilution,  $T_{\text{dark}} = (g_{\star, \text{post-BBN}}/g_{\star, \text{dark freeze-out}})^{1/3} T_\gamma$ , where the number of standard model degrees of freedom (DOFs) after big bang nucleosynthesis (BBN) is  $g_{\star, \text{post-BBN}} = 3.36$ , the number of standard model DOFs after dark freeze-out is  $g_{\star, \text{dark freeze-out}}$ , and  $T_\gamma$  is the photon temperature. Assuming  $Y$  is the lightest stable particle in the dark sector, so that heavier dark sector DOFs reheat the bath of  $Y$  radiation as they annihilate. Under the assumption that entropy is conserved,  $g_{\text{DS}} T_{\text{dark}}^3 = g_Y T_Y^3$ , where  $g_{\text{DS}}$  count the total DOFs in the dark sector. Typical values of  $\Delta N_{\text{eff}} \approx 0.2$  for  $g_{\star, \text{dark freeze-out}}$  corresponding to the QCD phase transition and minimal additional degrees of freedom in the dark sector (only  $X$  and  $Y$ ). This is well within the allowed constraints on  $\Delta N_{\text{eff}}$  [1,42].

*Direct detection in  $E_{\text{min}}$  space.*—Direct detection involves a unique combination of particle physics, nuclear physics, and astrophysics. The kinematics of scattering in the nonrelativistic case are controlled by the minimum DM particle velocity,  $v_{\text{min}}(E_R)$ , required to produce a nuclear recoil of energy  $E_R$ . In the absence of unknown form factors, all experimental data can be mapped into  $v_{\text{min}}$  space at each DM mass and compared without specifying the nature of the astrophysical distribution or density of DM [43,44]. These “halo-independent” methods have received

significant attention [45–60]. We generalize these methods to cover relativistic scattering as well, where the halo independence, here, comes from the absence of specific assumptions regarding the local DM density, density profile, velocity distribution, and annihilation source.

As can be seen from Eq. (1) and the form of  $E_{\text{min}}(E_R)$ , the relativistic scattering case allows a comparison of data which is independent of the DM mass. In the case of a claimed detection, using Eq. (1), we can divide out the nucleus-specific quantities

$$\tilde{g}(E_{\text{min}}) \equiv 2\mu_n^2 [A^2 F^2(E_R)]^{-1} dR/dE_R, \quad (5)$$

to immediately obtain the preferred  $\tilde{g}$  range in Fig. 2. Finally, since the integrand in Eq. (1) is strictly positive, we can derive conservative limits on  $\tilde{g}$  as in [44] by assuming a step function form for  $\tilde{g}(E_{\text{min}})$ . One can view this procedure as mapping direct detection rates to the  $(\tilde{g} - E_{\text{min}})$  plane, which we refer to as  $E_{\text{min}}$  space for brevity. The form of  $E_{\text{min}}(E_R)$  has the interesting effect of strongly suppressing the sensitivity of experiments employing heavy target nuclei. It is also interesting to observe that LUX [10] and a relativistic DM interpretation of DAMA [61] and CDMS-Si [62] data are fully compatible, though essentially ruled out by the recent SuperCDMS data [63]. Clearly, allowing for isospin violation in order to suppress the sensitivity from Germanium scattering would result in the positive signals seen by CDMS-Si and DAMA and the null results of LUX and SuperCDMS to be compatible.

Let us pause to highlight the relevance and generality of the halo-independent method employed here. In contrast to nonrelativistic scattering, here, the velocity distribution matters very little for the rate of events. However, now the astrophysical uncertainty is more fundamental in the sense that the source of the flux is unknown, i.e., the Galactic

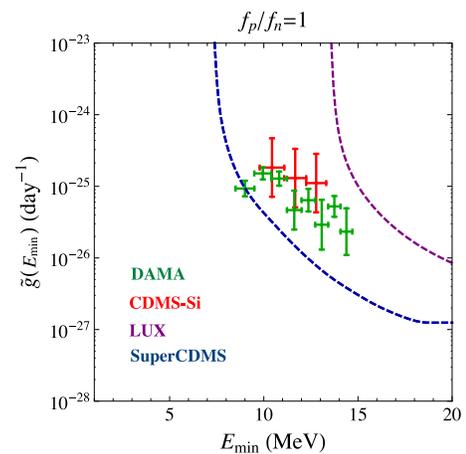


FIG. 2 (color online). DM mass independent comparison of direct detection data under the assumption of relativistic scattering. Here, we include the DAMA modulation amplitude from [61] and the 3 CDMS-Si events [62], along with constraints from LUX [10] and SuperCDMS [63].

center, the solar interior, etc. Moreover, even after specifying a source, there exist large uncertainties in the spatial distribution. This method is independent of these sizeable uncertainties. Finally, in addition to being DM mass independent, this method is also automatically independent of the energy dependence of the  $Y$ -nucleus scattering and the spectrum of the  $Y$  particles. The generality of this method is illustrated by the model of “baryonic neutrinos” which was proposed to account for DAMA’s annual modulation [11,40,41,64]. In this case, despite the fact that the source of the relativistic  $Y$ ’s is completely disconnected from DM, the  $E_{\min}$ -space representation is valid and allows for a complete comparison of experiments as in Fig. 2.

*DM annihilation from the Sun.*—For solar annihilation to dominate over the contribution from the Galactic center, the Sun must contain a large quantity of captured DM. In a symmetric DM context, the solar DM abundance has the time evolution  $\dot{N}_X = C_{XN} - C_A N_X^2$ , such that solar annihilation fluxes are roughly  $\Phi_{\odot} \approx C_A N_X^2 / 4\pi R_{\text{AU}}^2$ , where  $C_A$  is the annihilation rate,  $N_X$  is the number of DM particles in the Sun, and  $R_{\text{AU}}$  is the Earth-Sun distance. Assuming that only annihilation and nuclear capture play a role, we can specify a model of scattering of the  $Y$  states on nuclei and then derive bounds on the DM-nucleon cross section. This assumption is valid in the regime where evaporation of DM out of the sun is negligible,  $N_{\text{eq}} \approx \sqrt{C_{XN}/C_A}$ , such that the flux depends only on the capture rate.

We, again, adopt the model of DM annihilating to dark sector  $Y$  states that interact with quarks via Eq. (4) and take  $g_Y = 0.1$  and  $m_V = 50$  MeV. This yields the result shown in Fig. 3. At low DM mass, the limit cannot be trusted, as sufficiently light DM is prone to evaporation from collisions with solar nuclei [65–67]. We note that, in models where DM experiences significant self-interaction, the abundance of DM in the Sun can be much larger, which can strengthen the limit in Fig. 3 significantly [68–71]. We also leave for future work the extension of the framework considered here to an asymmetric DM scenario (see, e.g., [72–74] and [70]).

*Discussion and summary.*—In summary, this Letter has investigated the sensitivity of direct detection searches to dark matter annihilation. Thermal relic dark matter sets a natural scale for the thermally averaged DM annihilation cross section around  $\langle \sigma_{\text{ann}} v_{\text{rel}} \rangle \approx 6 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1}$ . This scale can be searched for in Cosmic Microwave Background (CMB) [75], gamma-ray [76], and even neutrino data [77]. Both CMB and gamma-ray data have breached thermal relic sensitivity for light DM masses. Though these constraints have sizable astrophysical uncertainties, they may indicate that light DM requires non-SM modes of annihilation. Here, we have studied models in which DM annihilates to a light, non-SM state that can scatter elastically on nuclei and deposit a detectable recoil energy. Models of the type considered here retain the appeal of the thermal relic hypothesis while remaining

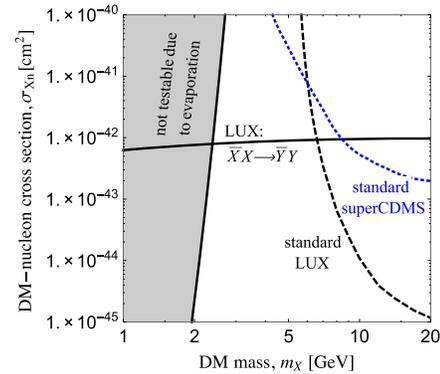


FIG. 3 (color online). Probing DM-proton interactions from the annihilation in the Sun. For reference, we show the cross section limits from LUX and SuperCDMS derived under the assumption of ordinary nonrealistic scattering. Here, the scattering of the relativistic state is via vector with  $G_Y = 7 \times 10^3 G_F$ .

experimentally verifiable. We have, furthermore, demonstrated that, in this class, of indirect annihilation searches, all astrophysical uncertainties can be “integrated out” [44] and experimental sensitivities can be directly compared.

This Letter could be extended to include electronic scattering at direct detection [78], though the reduction in the Cherenkov threshold for electrons implies that Super-K limits extend to much lower masses for leptophilic models [7]. The most similar studies to our own which have been recently carried out assumed that DM interacts with the SM through a kinetically mixed photon, implying both hadronic and electronic couplings [7,8]. In this case, large volume detectors like Super-Kamiokande and IceCube yield very strong limits. In contrast, we are interested in a complementary portion of the parameter space compared to [7,8] in that we have focused on hadronic models where: (1) the annihilation products are nearly massless compared to nuclear recoil energies, and (2) light DM masses are near or below the Cherenkov threshold and, thus, are difficult to probe at Super-K.

Lastly, we stress that the “effective field theory” of DD proposed in [4,5] does not encapsulate the scenario described in this Letter and should be extended to include generalized relativistic scattering.

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