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STUDY PROTOCOL

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# Development in Danish medical students' empathy: study protocol of a cross-sectional and longitudinal mixed-methods study

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## Abstract

**Background:** Clinical empathy has been associated with positive outcomes for both physicians and patients such as: more accurate diagnosis and treatment, increased patient satisfaction and compliance, and lower levels of burnout and stress among physicians. International studies show mixed results regarding the development of empathy among future physicians associating medical education with decline, stability or increase in empathy levels. These mixed results are due to several study limitations. In Denmark, no investigation of Danish medical students' empathy trajectory has yet been conducted wherefore such a study is needed that optimizes the study design of earlier studies.

**Methods:** The aim of the study is to examine and analyze empathy levels and empathy changes among Danish medical students from the four medical faculties in Denmark, employing a cross-sectional and longitudinal mixed-methods design including a control group of non-medical students. By supplementing cross-sectional and longitudinal questionnaire studies with a focus group interview study it is the aim to identify and analyze factors (including educational) that are perceived by medical students to influence the development of empathy and its expression in clinical care.

**Discussion:** The results of the study will provide insight into the trajectory of medical students' empathy and in undergraduate and graduate students' experiences with and perceptions of empathy development. In addition, the study will provide evidence to support further research on how targeted educational programmes can best be designed to educate empathic and patient-centered physicians.

**Keywords:** Study protocol, Empathy, Compassion, Altruism, Medical education, Medical students, Cross-sectional study, Longitudinal study, Qualitative study, Focus group interviews

## Background

In this study we aim to identify and analyse empathy scores and empathy developments among Danish medical students. Empathy is recognized as a multidimensional construct involving a cognitive, emotional and behavioral component [1, 2]. Cognitive empathy involves an intellectual understanding of another's state of mind

without any personal emotional response [1]. Emotional empathy on the other hand is commonly viewed as an emotional resonance with another's emotional state, e.g. through compassion as an intention to help. The behavioral component refers to concrete actions in response to the aforementioned intention to relieve the person's distress, commonly referred to as prosocial or even altruistic behavior [3, 4].

Defined in the medical literature as the ability to understand a patient's suffering and concerns combined with an ability to communicate this understanding and an intention to help [5–7], clinical empathy has been

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associated with a number of beneficial patient and physician outcomes: more accurate diagnosis and treatment, increased patient satisfaction and compliance [6, 8–11], lower incidence of complaints and lawsuits, and lower levels of burnout and stress among physicians [5, 12, 13]. Moreover, high scores on empathy among medical students are associated with the following positive outcomes: increased satisfaction with their education, lower levels of stress and burn-out, higher ratings of overall clinical competences given by medical school faculty, better interpersonal skills assessed by patients and greater teamwork skills [5, 14–16]. However, as future physicians, they are confronted with increasing work stress and contact with suffering patients which may result in burnout indicators such as emotional exhaustion and emotional withdrawal. For that reason, future physicians must find strategies to protect their own functionality [17]. One of the major tasks in medical education is to maintain or increase clinical empathy among medical students and to help them find and train strategies to cope with these future stressors that might also decrease their motivation in the clinical routine.

Yet, according to several research studies that measure empathy in the context of medical education, a significant decline in empathy occurs among medical students as their training progresses. These studies have been conducted in disparate cultural settings, e.g. including the UK [18], USA [19–22], Iran [23, 24], Kuwait [25], India [26], the Caribbean [27] and China [28]. The decrease in empathy among medical students documented in some studies have created concern among educational managers in medical faculties asking themselves what has gone wrong [29]. Several factors have been suggested to contribute to a development towards increased emotional distance and detachment among medical students in their contact to patients: increased time pressures, increased patient encounters and an increased awareness of evidence-based medicine protocols and technologies, the influence of senior role models and the hidden curriculum [29]. In order to enhance empathy as an ability, compassion as an intention and altruism as concrete behaviour, biopsychosocial, patient-centered and relationship-centered illness models have been integrated into the medical curricula in a number of countries alongside the biomedical paradigm [30, 31]. Moreover, students engage in various learning initiatives that have empathy cultivation and preservation as a key goal, such as accompanying patients on medical visits, making home visits, and reading medically related literature and poetry (narrative medicine) [32–35].

However, other studies, e.g. in Portugal [36], the UK [37], Kuwait [38], Japan [39], Korea [40], Bangladesh [41] and New Zealand [42], find either no difference or an increase in empathy, hereby challenging the narrative about medical education and empathy decline. No study

about Danish medical students' empathy levels has yet been conducted.

The mixed and ambiguous results of the above-mentioned wide-ranging international studies are possibly related to study limitations and cultural and contextual differences between cohorts. Although existing studies have contributed with significant knowledge about the trajectory of empathy in medical education, they call for research that optimizes the measurement of empathy, addressing/identifying also factors that determine and influence the development of empathy [12].

So far, most international studies have relied upon single, self-report instruments, mostly on the widely used measure Jefferson Scale of Physician Empathy-Student version (JSE-S), developed specifically to measure empathy in respect to patient care, reflecting primarily the cognitive dimension of empathy. This neglects the fact that empathy is constituted by different components and dimensions [12]. Furthermore, many studies have been single-institutional and cross-sectional without use of controls making it difficult to state with certainty that the changes measured are generalizable, progressive, systematic and specific to medical students. Existing studies also lack consideration on determinant factors related to, e.g. personal experience, personality structure, stress perception, coping abilities, values and psychological well-being that may influence levels of empathy.

To avoid some of these pitfalls, we employ triangulation in this study, using more than one instrument to measure empathy and related constructs such as compassion and altruism, and using both quantitative and qualitative methods. Thus, we combine a quantitative cross-sectional and longitudinal research design with a cross-sectional qualitative design in order to uncover more deeply why and how changes in empathy might occur in and across the four Danish universities. Furthermore, we use a large control group of non-medical students, enabling understanding of the level of empathy that medical students have in relation to their age-related non-medical university student peers. Furthermore, empathy measurement among students in the control group enables us to investigate whether empathy level is predictive of choice of study and dropout.

## Methods/design

### Aim and research questions

The aim of the study is to examine and analyze empathy scores and empathy developments among Danish medical students from four different universities based on a quantitative self-assessment questionnaire and qualitative focus group discussions.

The specific research questions are:

1. Which are the differences in empathy scores between 1st, 3rd and 6th year medical students in Denmark and as compared to non-medical students from one of the four universities?
2. Which factors do medical students perceive to influence the development in empathy and its expression in clinical care?
3. What are the developments in medical students' self-assessed empathy over the course of their training?
4. What are the associations between students' levels of empathy, compassion, altruism and stress, attachment patterns, personal values, conscience presence and self-control (situational mindfulness) and lastly, religiosity?

The study is a mixed methods study incorporating the following three interrelated sub-studies (studies 1–3) each of them relating to the above-mentioned research questions:

*Study 1* is designed as a national, cross-sectional study including 1st, 3rd and last year medical students from all four universities in Denmark (University of Copenhagen, Aarhus University, University of Southern Denmark and Aalborg University). A control group of 1st, 3rd and 6th year students from the University of Southern Denmark will be invited to participate.

*Study 2* is designed as a qualitative focus group study. The focus group method has been chosen for data generation because its interactional features might promote a dynamic exchange of the students' opinions and experiences [43, 44]. Specifically, we wish to involve the students in a reflection about 1) understandings and expressions of empathy, 2) their own experiences with the role of empathy in patient care and 3) contextual, including educational, factors that might impact on their empathy.

*Study 3* is designed as a national, longitudinal study where students from the 1st year of study 1 will be followed over time in order to examine empathy scores over time. The students will receive the questionnaire described under study 1 each year during their medical education.

#### **Pilot study**

In order to evaluate the technical, administrative or logistic feasibility of the study, including issues of questionnaire design and data collection, we conducted a pilot study in June 2019 at the University of Southern Denmark. Students in their 1st, 3rd and 6th year were invited to participate in the project via an invitation letter in e-Boks - an online digital mailbox that is linked to the personal registration number of each Danish citizen.

Descriptive analyses of the pilot study are presented in the results section.

#### **Study settings and samples**

All 1st, 3rd and 6th year medical students from Denmark's four universities are invited to participate constituting sample one, including approximately 4.300 students. All 1st, 3rd and 6th year non-medical students enrolled at University of Southern Denmark are invited to participate in the control group constituting sample two, including approximately 10.000 students.

#### **Data collection**

Quantitative data is collected 1st of March 2020 through an online questionnaire. Students are informed about the study by receiving an information letter, which clarifies how their data is used according to the General Data Protection Regulation (GDPR) and gives them the contact information on the principle investigators. Furthermore, the contact information of the data protection officers of the faculty is provided. Students are informed that their participation in the study is voluntary and that, if they do not want to participate, dropout analysis will be conducted. The questionnaire will be set up in SurveyXact (electronic survey system). Complying with European data protection rules, the University of Southern Denmark approved the data processing activities regarding this project, including permission to extract the students' Central Person Register number and other relevant background information such as year, study start, grades, country origin, dropout, etc. from the faculties' enrolment lists, and registered the project under [Journal no. 10.181].

In the questionnaire, the students will be asked to fill in demographic and background information about their gender, age, number of children, and relationship status. Purpose-designed questions have been developed to examine the students' own experiences with the health-care system and their medical education.

The following nine scales are included in the questionnaire in the listed order:

- 1) *The Jefferson Scale of Empathy – Student Version (JSE-S)* [22]. A 20-item scale developed to specifically measure medical students' personal orientation toward empathy in respect to patient care. The students' response is measured on a 7-point Likert scale. The scale has been extensively validated internationally and has shown a stable factor structure [23, 28, 38, 39, 45–47].
- 2) *The Interpersonal Reactivity Index (IRI)* [48] consists of 28 items measuring four aspects of empathy in the general population: perspective taking, fantasy, empathic concern and personal

- distress. The students' response is measured on a 5-point Likert scale. In several validation studies undertaken in different settings, the IRI has been found a valid instrument showing a stable factor structure [49].
- 3) *The Santa Clara Brief Compassion Scale (SCBCS)* [50] is a 5-item scale rated on a 7-point Likert scale. The scale measures compassion and its relation to pro-social behaviours and has demonstrated good reliability and validity [51].
  - 4) *The Generative Altruism Scale (GAIS)* [52], measures both affective and behavioural elements of altruism. Altruism is defined here as an attitude and commitment to help and care for others without expecting any rewards or direct benefit, with altruism being intrinsically motivated by compassion. The scale consists of 11 items rated on a 4-point rating scale and has demonstrated good reliability and validity [52].
  - 5) Attachment is measured with *the Experiences in Close Relationship (ECR)–Short Form* [53] which is a 12-item questionnaire rated on a 7-point Likert scale. The scale includes two subscales measuring attachment anxiety and attachment avoidance. The reliability, test-retest and construct validity of the scale have been found acceptable [53]. Attachment will be measured at all time points in study 1, and in the 3rd and 6th year in study 3.
  - 6) *The Valued Living Questionnaire (VLQ)* [54] is an instrument that examines 10 valued domains of living: 1. Family, 2. Marriage/couples/intimate relations, 3. Parenting, 4. Friendship, 5. Work, 6. Education, 7. Recreation, 8. Spirituality, 9. Citizenship, and 10. Physical self-care. The 10 areas of life are rated on a scale of 1–10, indicating the level of importance and how consistently the respondents have lived in accord with those values in the past week.
  - 7) *The Perceived Stress Scale (PSS)* [55] measures the degree to which situations in one's life are appraised as stressful. PSS is a 10-item questionnaire rated on a 4-point Likert scale and the most widely used psychological instrument for measuring the perception of stress. The PSS has been validated in a Danish context [56]. The PSS is added to measure if perceived stress affect students' levels of empathy.
  - 8) *Conscious Presence and Self Control (CPSC)* [57] is a 10-item validated scale that measures a person's situational awareness ('mindfulness') in both daily life and difficult situations, and subsequent reaction of being consciously 'present' and non-judgemental. Items are rated on a Likert scale with the following response options: "rarely" (0), "occasionally" (1), "fairly often" (2), and "almost always" (3). The scale has a good internal consistency.
  - 9) *The Centrality of Religiosity Scale (CRS)* [58] available in different versions (with 5, 7, 10 and 15 items) measures the centrality, importance or salience of religious meanings in personality. It measures five core dimensions of religiosity: public practice, private practice, ideological, intellectual and religious experience. Validation studies have shown adequate overall psychometric qualities [59]. In this study, the 7-item version is used.
- The PSS and VLQ already exist in a Danish-language translation [56].<sup>1</sup> The remaining seven scales have been translated into Danish according to the WHO's guidelines [60] and cognitive interviews have been conducted with ten Danish medical students prior to the pilot study [61].
- The control group of non-medical students will receive a closely related copy of the questionnaire where only the JSE-S and purpose-designed questions specific to the medical education are removed. Permission has been obtained for using the following scales: JSE-S, SCBCS, GAIS, VLQ, CPSC and CRS. The remaining scales are freely accessible online with no copyright stated: IRI, ECR and PSS.
- Qualitative data are generated through focus group interviews with medical students from the four universities. The interactional features of the focus group method [44] will facilitate the students' collective engagement with understandings of and experiences with empathy in the students' personal lives, during their education and in the clinical encounters. The focus group interviews are guided by a topic guide containing open-ended questions that relate to the research question, such as what empathy could mean and how the students themselves understand it, experiences with its expression in clinical care, perceived empathy development during their education, perceived educational factors influencing the level and practice of empathy, etc. We seek to include approximately 72 students from study 1 (1st, 3rd and 6th year students) into the study who are distributed in 12 focus groups with six students in each group (one group per year per university). The students are recruited through advertisements in student Facebook forums, on student portals and during classes. The students who show interest in participating are then selected purposefully based on the desire to achieve diversity in gender, age, and geographical place of residence. The focus group discussions will last approximately 60–75 min and are digitally recorded and transcribed verbatim hereafter.

<sup>1</sup>The VLQ has been translated by psychologist Rikke Kjeldgaard.

## Outcomes

Primary outcomes are self-reported empathy scores as measured with scale one and two (JSE-S and IRI) within a cross-sectional and longitudinal comparison and knowledge about student-perceived beneficial and impeding factors for empathy as generated through focus group interviews. Secondary outcomes are compassion and altruism as the intentional and behavioural outcomes of empathy, stress perception as a putative challenge of students' empathy, attachment, personal values, conscience presence and self-control as a suggested resource to buffer stress and protect their empathy and intentions to help, and religiosity as a suggested resource which may motivate empathy, compassion and altruism. These outcomes are measured by the above-mentioned scales three to nine (SCBCS, GAIS, ECR, VLQ, PSS, CPSC, CRS).

## Data analysis

The primary analysis for the quantitative data from study 1 and 3 will be based on separate linear regression models for the two primary outcome measures JSE-S and IRI. In study 1, we will focus on the effect of time and student group (medical versus non-medical) on the outcomes, but also take into account the effect of other covariates such as gender, age, study year, grades, etc. The longitudinal nature of study 3 implies the possibility to model individual change in empathy levels over time, while adjusting for covariates as stated above. Also, when appropriate, we will calculate the effect size estimates (e.g., Cohen *d*) in order to examine whether statistically significant differences in empathy scores are practically (clinically) significant [62]. Throughout the analyses, a *p*-value below 0.05 will be considered statistically significant.

The qualitative data from focus group interviews will be transcribed and analysed using a thematic content

analysis approach [63, 64] and by means of the software program NVivo 12. The transcripts will be coded in two phases: an initial open coding and a subsequent closed thematic coding focusing on socially constructed understandings and how these affect behaviours [65] using a node structure reflecting identified themes and sub-themes allowing for expansion and reduction along the way. Those of the researchers who have coded the data will discuss and agree upon the identified themes at analytic meetings (intercoder agreement) [66], relating them to the original transcripts and aligning them where necessary.

## Results from the pilot study

A total of 862 medical students received an invitation to participate in the pilot study of which 258 completed the questionnaire completely (30%), 47 only partially and 557 did not respond. Those who completed the questionnaire were more often female, under the age of 25 years and 1st year students (Table 1). Table 2 depicts the students' score on the included scales, showing the range of scores, mean, SD, min, 25th percentile, median 75th percentile and maximum.

## Discussion

Results generated will provide us with knowledge about 1. Differences in empathy scores between 1st, 3rd and 6th year Danish medical students and between medical and non-medical students, 2. significant educational and cultural factors influencing the development of empathy as perceived by Danish medical students, 3. the progressive potential change in empathy levels in Danish medical students from their 1st to 6th year and 4. associations between empathy and the following variables: compassion, altruism, stress, attachment, personal values, conscience presence and self-control and religiosity.

**Table 1** Participants' characteristics of the pilot study

Variables	Total (N = 862)	Completed (N = 258)	Partly completed (N = 47)	Non-response (N = 557)
Male N(%)	324 (100.0)	75 (23.1)	17 (5.2)	232 (71.6)
Female N(%)	538 (100.0)	183 (34.0)	30 (5.6)	325 (60.4)
Age: 18–25 N(%)	497 (100.0)	157 (31.6)	31 (6.2)	309 (62.2)
Age: > = 25 N(%)	365 (100.0)	101 (27.7)	16 (4.4)	248 (67.9)
<sup>a</sup> Quota 1 N(%)	427 (100.0)	124 (29.0)	24 (5.6)	279 (65.3)
<sup>a</sup> Quota 2 N(%)	395 (100.0)	126 (31.9)	21 (5.3)	248 (62.8)
<sup>a</sup> Quota missing N(%)	40 (100.0)	8 (20.0)	2 (5.0)	30 (75.0)
1st year N(%)	326 (100.0)	113 (34.7)	17 (5.2)	196 (60.1)
3rd year N(%)	277 (100.0)	81 (29.2)	16 (5.8)	180 (65.0)
6th year N(%)	259 (100.0)	64 (24.7)	14 (5.4)	181 (69.9)

<sup>a</sup>Quota refers to how the students are enrolled into the universities. Quota 1 is based exclusively on the students' marks whereas Quota 2 takes into account other qualifying aspects as related work or volunteer experiences

**Table 2** Descriptive data of the scale response of the students who completed the whole questionnaire

Scales	N	Range	Mean	SD	Min	25th percentile	Median	75th percentile	Max
Empathy									
JSE-S	258	20–140	91.3	7.6	61.0	87.0	92.0	97.0	106.0
IRI_PT	258	0–28	19.8	4.0	8.0	18.0	20.0	23.0	28.0
IRI_F	258	0–28	18.8	5.2	4.0	15.0	19.0	23.0	28.0
IRI_EC	258	0–28	20.3	4.7	5.0	17.0	21.0	24.0	28.0
IRI_PD	258	0–28	7.9	4.3	0.0	5.0	8.0	11.0	22.0
Compassion									
SCBCS	258	5–35	25.2	5.9	6.0	22.0	26.0	29.0	35.0
Altruism									
GAIS	258	0–3	1.4	0.4	0.1	1.1	1.5	1.7	2.9
Attachment <sup>a</sup>									
ECR-S	216	12–84	32.9	9.6	13.0	26.0	31.0	40.0	63.0
ECR-S_Anxiety	216	6–42	21.0	6.6	7.0	16.5	20.0	25.0	42.0
ECR-S_Avoidance	216	6–42	11.9	5.6	6.0	7.0	11.0	14.5	41.0
Values									
VLQ	258	20–200	147.2	20.0	83.0	133.0	148.0	162.0	191.0
Perceived stress									
PSS	258	0–40	15.4	6.6	0.0	11.0	14.0	20.0	36.0
Conscious Presence and Self Control									
CSPC	258	0–3	1.7	0.5	0.5	1.4	1.7	2.0	2.9
Religion									
CRSI-7	258	1–5	2.0	0.7	1.0	1.4	1.9	2.4	4.9

<sup>a</sup>Only students who had or had had a partner answered the ECR (42 answered no to having og having had a partner)

*IRI* Interpersonal Reactivity Index, Subscales of the IRI: *IRI\_PT* perspective taking, *IRI\_F* fantasy, *IRI\_EC* empathic concern, *IRI\_PD* personal distress, *JSE-S* Jefferson Scale of Physician Empathy student version, *SCBCS* The Santa Clara Brief Compassion Scale, *GAIS* the generative altruism scale, *ECR-S* experiences in close relationship – short form, *VLQ* the valued living questionnaire, *PSS* perceived stress scale, *CSPC* conscious presence and self control, *CRSI-7* centrality of religiosity scale – 7 items

These results will be an important contribution to research in empathy in medical education and will provide the medical faculties with evidence to support further research on how targeted educational programs can be designed to retain, cultivate and enhance empathy among medical students and students of other health professions.

Knowledge from the above-mentioned pilot study will also be incorporated in the main study. For example, the response rate of the pilot study was low (30%). Partly, this was due to the timing of the study which was in June where some of the students had already gone on summer vacation and others were busy preparing for exams. Based on this knowledge, the questionnaire for study 1 will be sent out 1st of March 2020 when the students have just begun the semester. Further strategies to increase the response rate include: 1) showing a short film at key introductory lectures at the four universities explaining the aim of the study, 2) adding small professional drawings to the questionnaire to uphold the students' motivation and 3) sending out three reminders.

#### Abbreviations

CSPC: Conscious Presence and Self Control; CRS: The Centrality of Religiosity Scale; ECR : Experiences in Close Relationship; GAIS : The Generative Altruism Scale; IRI: The Interpersonal Reactivity Index; JSE-S: The Jefferson Scale of Empathy – Student Version; PSS : The Perceived Stress Scale; SCBCS : The Santa Clara Brief Compassion Scale; VLQ : The Valued Living Questionnaire

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#### Authors' contributions

EAH and CMA contributed to the study's conception and design and drafted the manuscript. JS, NCH, SW and AB contributed to the study's conception and design, revised the publication and gave final approval of the version to be published. The author(s) read and approved the final manuscript.

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#### Availability of data and materials

The datasets generated and/or analyzed during the current study are not publicly available.

### Ethics approval and consent to participate

The study will be conducted in accordance with the principles of the Declaration of Helsinki [67]. In accordance with Danish regulations, ethical approval is not required for surveys using questionnaires and interviews that do not involve human, biological material (section 14 (2) of the National Committee on Health Research Ethics Act) [68]. All participants receive written information on the study before participation and participation is voluntary. Participants do not receive any gifts or monetary compensation. All participants can withdraw from the study at any time with no consequences to their future studies. All data is kept confidential and published anonymously. Complying with European data protection rules, the University of Southern Denmark approved the data processing activities regarding this project and registered the project [Journal no. 10.181].

### Consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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