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Mehlum, Camilla Slot; Kjaergaard, Thomas; Grøntved, Ågot Møller; Lyhne, Nina Munk; Jørkov, Andreas Peter Schjellerup; Homøe, Preben; Tvedskov, Jesper Filtenborg; Bork, Kristian Hveysel; Möller, Sören; Jørgensen, Gita; Philipsen, Bahareh Bakhshaie; Godballe, Christian

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# 1 Value of pre-and intraoperative diagnostic methods in suspected glottic neoplasia

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5 Camilla Slot Mehlum, MD (1); Thomas Kjaergaard , MD, PhD (2); Ågot Møller Grøntved, MD (1); Nina  
6 Munk Lyhne, MD, PhD(3); Andreas Peter Schjellerup Jørkov, MD (4); Preben Homøe, MD, PhD, DMSc  
7 (4); Jesper Filtenborg Tvedskov, MD, PhD (5); Kristian Hveysel Bork, MD, PhD (5); Sören Möller, MSc,  
8 PhD (6); Gita Jørgensen, MD (1); Bahareh Bakhshaie Philipsen, MD (1); Christian Godballe, MD, PhD (1)

9

10 (1) Department of ORL Head & Neck Surgery and Audiology, Odense University Hospital, J. B.

11 Winsløvsvej 4, DK-5000 Odense, Denmark

12 (2) Department of Otorhinolaryngology-Head and Neck Surgery, Aarhus University Hospital, Palle

13 Juul-Jensens Boulevard 165, DK-8200 Aarhus N, Denmark

14 (3) Department of Head and Neck Surgery, Aalborg University Hospital, Hobrovej 18-22, DK-9000

15 Aalborg, Denmark

16 (4) Department of Otorhinolaryngology and Maxillofacial Surgery, Zealand University Hospital,

17 Lykkebækvej 1, DK-4600 Køge, Denmark

18 (5) Department of Otorhinolaryngology, Head and Neck Surgery, and Audiology, Rigshospitalet,

19 University Hospital of Copenhagen and University of Copenhagen, Blegdamsvej 9, DK-2100

20 København, Denmark

21 (6) OPEN - Open Patient data Explorative Network, Odense University Hospital and Department of

22 Clinical Research, University of Southern Denmark, J. B. Winsløvsvej 9, DK-5000 Odense,

23 Denmark

24

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9  
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12

13 Corresponding author: Consultant Camilla Slot Mehlum, Department of ORL Head & Neck Surgery and  
14 Audiology, Odense University Hospital, J. B. Winsløvsvej 4, DK-5000 Odense, Denmark.

15 Email [Camilla.mehlum@rsyd.dk](mailto:Camilla.mehlum@rsyd.dk)

16 telephone +45 65411809  
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# 1 Value of pre-and intraoperative diagnostic methods in suspected glottic neoplasia

2

## 3 Abstract

### 4 Purpose

5 To evaluate the individual and combined ability of videostroboscopy (VS), high-speed digital imaging  
6 (HSDI), enhanced endoscopy (EE) and saline infusion (SI) to predict neoplasia, defined as glottic precursor  
7 lesion (GPL) or T1a glottic cancer, in patients suspected for glottic neoplasia.

### 8 Methods

9 A nationwide prospective cohort study of patients treated by cordectomy for suspected GPL or T1a glottic  
10 cancer from August 1<sup>st</sup> 2016 to October 31<sup>st</sup> 2018 was conducted in the five Danish University Departments  
11 of Head and Neck surgery. Sensitivity, specificity, negative and positive predictive values, and area under  
12 Receiver Operating Curves (AUC-ROC) were calculated with 95% confidence intervals with respect to the  
13 histological diagnosis. Logistic regression with an imputation model for missing data was applied.

### 14 Results

15 261 patients aged 34-91 years participated; 79 (30.3%) with non-neoplasia (i.e. inflammation, papilloma,  
16 hyperkeratosis) and 182(69.7%) neoplasia, hereof 95 (36.4%) with GPL and 87 (33.3%) with T1a glottic  
17 cancer. Data from 188 VS, 60 HSDI, 100 preoperative EE, 209 intraoperative EE, and 234 SI were analyzed.  
18 In the complete case analysis the AUC-ROC of each diagnostic test was low, but increased when the tests  
19 were combined and especially if the combination included EE. However, multinomial logistic regression  
20 with imputation showed significant association ( $p<0.05$ ) only between age, male gender, and perpendicular  
21 vasculature in intraoperative EE, and the endpoint neoplasia.

### 22 Conclusions

23 Intraoperative EE was the most accurate diagnostic method in detecting neoplasia. The prediction ability of  
24 methods applied preoperatively was more limited, but improved when test modalities were combined.

25

26

## 1 Introduction

2 Transformation of normal vocal cord mucosa into premalignant lesions (glottic precursor lesions, GPL) and  
3 cancer is a multistage process [1,2]. Because of the malignant potential, surgical treatment of GPL is  
4 generally recommended. However, GPL or early cancer may present as low-contrast mucosal changes,  
5 without obvious characteristics distinguishable from lesions with no malignant potential[3].

6 Danish national guidelines for handling of neoplasia (defined as GPL or T1a glottic cancer) were agreed in  
7 2012[4]. A one-stage surgical procedure (cordectomy) that provides adequate treatment for neoplasia and  
8 allows histological evaluation of the entire lesion was recommended for suspected neoplasia. In such a setup,  
9 the risk of damage to the voice must be considered and the extent of surgical intervention balanced according  
10 to the potential severity of disease. Pre-surgical evaluation of the malignant potential is crucial[5-7] and a  
11 biopsy seems obvious; however this may necessitate subsequently more extensive resections because of the  
12 alterations of the normal layered structure of the vocal cord[5]. Furthermore, a punchbiopsy will only allow  
13 histological evaluation of a specific point of the lesion, which may or may not represent the most severe part  
14 of the lesion. Therefore, several diagnostic methods have been suggested to increase the diagnostic accuracy  
15 of pre-surgical assessment. Videostroboscopy (VS) is gold standard in vocal cord examination[8,9,3,10-12]  
16 and more studies report a considerable diagnostic value of an impaired mucosal wave in predicting GPL or  
17 early glottic cancer[3,13,5,14]. Benign conditions like inflammation, scarring and vocal overuse may  
18 however impair the mucosal vibration[1,10] and an edema in the Reinke's space may permit a normal  
19 mucosal wave despite microinvasive cancer[1]. Unlike VS, high-speed digital imaging (HSDI) is frequency-  
20 independent and one of the key advantages of this technique is its ability to capture recording of the mucosal  
21 wave in case of severe dysphonia e.g. very short or aperiodic mucosal vibration that is impossible to capture  
22 by VS[15,9,16]. Enhanced endoscopy (EE) such as Narrow Band Imaging (NBI®, Olympus, Tokyo, Japan)  
23 or Storz Professional Image Enhancement System (SPIES®, Karl Storz, Tuttlingen, Germany) is designed to  
24 visualize the mucosal vasculature and thus the perpendicular neo-angiogenetic vessels in neoplasia[17-20].  
25 Intraoperative saline infusion (SI) in the submucosal space (or "hydrodissection") may be used to evaluate  
26 the depth of a glottic lesion and its extension into lamina propria[21,1,5] and has been suggested as

1 mandatory when performing cordectomy[5]. However, knowledge about accuracy of these diagnostic tools is  
2 limited and a combined use of two or more diagnostic methods is suggested to increase the diagnostic  
3 accuracy[5,15,22,17,23,7,24-26]. We aimed to evaluate the individual and combined capacity of VS, HSDI,  
4 EE, and SI to predict neoplasia in patients suspected for glottic neoplasia.

## 6 **Materials and methods**

### 7 Study design

8 Data were prospectively included from the five Danish University Departments of Head and Neck surgery  
9 and registered in a national REDCap[27] (Research Electronic Data Capture) database. The inclusion criteria  
10 for this diagnostic accuracy study were cordectomy performed for suspected neoplasia in patients  $\geq 18$  years  
11 of age between August 1<sup>st</sup> 2016 and October 31<sup>st</sup> 2018. Patients were excluded if they had had surgery on the  
12 affected vocal cord more than once or during the last year, had intraoperatively unexpectedly exhibited signs  
13 of extensive disease ( $>T1a$  glottic carcinoma) or if anatomical or technical limitations made cordectomy  
14 impossible.

15 The study is reported according to the STARD-2015 guidelines[26].

16 Preoperative VS, EE with NBI or SPIES, and HSDI (when available) was performed in the outpatient clinic.  
17 The patient was offered cordectomy in general anesthesia if neoplasia was suspected (e.g. suspicious  
18 morphology, persistent erythro- or leukoplakia, reduced mucosal waves or perpendicular vessels) and a  
19 limited cordectomy was expected to be sufficient treatment. In the operating room, EE was repeated, and SI  
20 performed. Based on this test-battery the surgeon chose the type of cordectomy necessary to secure radicality  
21 (European Laryngological Society type I-III)[28]. Pre- and intraoperative assessment as well as surgery was  
22 performed by a limited number of trained ENT-doctors at each department.

### 23 Index tests

24 Measured variables are provided as Appendix. For the four different index-tests, pre-specified cut-offs were  
25 applied to achieve binary outcomes as follows:

26 VS: normal versus reduced/absent mucosal wave, lesion elevated/exophytic or not

1 HSDI: normal versus reduced/absent mucosal wave

2 EE: visible perpendicular vessels or not

3 SI: complete lifting (“ballooning”) of mucosa versus partly/complete fixation of mucosa

4 Reference standard

5 Histological assessment served as reference standard. Analyses were performed with a pre-specified cut-off  
6 of the reference standard, into neoplasia (GPL or T1a glottic cancer) vs. non-neoplasia (NNP).

7 Clinical information was available to the performers of the index test, because of the clinical setting, but the  
8 reference standard was not known at the time of inclusion and assessment of index test.

9 Clinical information and index test results were hypothetically available to the assessors of the reference  
10 standard, but not used as a part of the daily clinical routine.

11 Statistical analysis

12 Summary statistics for demographic and clinical characteristics were determined. Sensitivity, specificity,  
13 negative predictive value (NPV), positive predictive value (PPV), and area under the Receiver Operating  
14 Curves (AUC-ROC) were estimated with 95% confidence intervals (CI) for VS, HSDI, EE and SI with  
15 respect to the final histological diagnosis as reference standard, with the above-mentioned cut-offs. The  
16 performance of the applied cut-offs was assessed using AUC-ROC for each test as well as for clinically  
17 relevant combinations of tests. The combined diagnostic set-up was further analyzed by multinomial logistic  
18 regression to investigate the relationship between outcome and multiple simultaneous clinical and  
19 demographical covariates. Indeterminate index test results (explanatory variables) were handled as missing  
20 data while there were no indeterminate or missing reference standard results. Missing data on the index tests  
21 were handled using a Multivariate Imputation by Chained Equations (MICE) model[29].

22 Statistical analysis was done using the Stata software (Version 15, College Station, TX, USA: StataCorp,  
23 2017). P-values < 0.05 were considered statistically significant. Power calculation was not performed since  
24 no valid data concerning accuracy for the established methods was available before this study.

25

26

## 1 Results

2 Data from the 261 patients including 188 VS, 60 HSDI, 234 SI, 100 preoperative EE, and 209 intraoperative  
3 EE were registered and analyzed (215 had at least one EE performed). The demographic and clinical  
4 characteristics of the patients are presented in Table 1. The distribution of final histological diagnoses was 79  
5 (30.3%) NNP (i.e. inflammation, papilloma, hyperkeratosis) and 182 (69.7%) neoplasia, hereof 95 (36.4%)  
6 GPL and 87 (33.3%) T1a glottic cancer.

7 The sensitivity, specificity, NPV, PPV, and AUC- ROC for each test modality in detecting neoplasia are  
8 shown in Table 2. In the complete case analysis, the AUC-ROC of each diagnostic test was low, but the  
9 AUC-ROC increased when the tests were combined and especially if the combination included EE (Table 3).  
10 The point estimates of logistic regression with and without MICE imputation were not very different.  
11 However, the use of imputation narrowed the confidence intervals and thus made associations apparent that  
12 were not seen in the complete case analysis (Table 4). HSDI was omitted from the regression analyses  
13 because of a limited number of observations almost all from one center (57 of 60). Multinomial logistic  
14 regression with imputation showed significant association ( $p < 0.05$ ) between age, male gender, and  
15 perpendicular vasculature in intra-operative EE, and neoplasia. The logistic regression model was tested for  
16 goodness of fit and was acceptable ( $p = 0.69$ ).

17 The time interval between the index tests and reference standard was not calculated, but all of the included  
18 patients were handled in a clinical setting in which preoperative assessment was followed by surgery within  
19 approximately a month and subsequent histological assessment within an additional two weeks.

20

## 21 Discussion

22 This is the first nationwide study to evaluate the diagnostic accuracy of diagnostic methods applied before  
23 surgical intervention, as part of the pre- and intraoperative evaluation of suspected glottic neoplasia. The  
24 estimated sensitivity, specificity, PPV, NPV and AUC-ROC of the individual and combined diagnostic tests  
25 were highly variable. Our results suggest that none of the evaluated test modalities (VS, HSDI, EE or SI) are  
26 accurate enough to stand alone and that combinations of test modalities increase diagnostic accuracy.



1 Intraoperative EE was found to be superior to the remaining tested methods, especially if used as a part of a  
2 combined diagnostic approach.

3 Every test modalities evaluated in this study scored relatively poor compared to other reported series.  
4 However, most of these series are smaller dimensioned, single-institutional, involving only one or a few  
5 expert assessors, and/or performed retrospectively[20,1,30,31,18,22,9] which may have increased their  
6 estimated diagnostic accuracy. In our setup the methods were applied prospectively in a national multicenter  
7 organization with diverse clinical traditions and preferences reflecting “a real-world situation”. The setup  
8 was chosen to improve generalizability (external validity) of our results.

9 Histology served as reference standard for estimates of diagnostic performance. For the study period in  
10 question, the World Health Organization classification WHOC 2005[32] was used in Denmark dividing  
11 laryngeal precursor lesions into mild-, moderate-, or severe dysplasia, or carcinoma in situ. The revised  
12 WHOC 2017[33], dividing laryngeal precursor lesions into low vs. high grade, was not fully implemented in  
13 Denmark and therefore not used in this study.

14 To make our results relevant for the clinical setting we chose to analyze the diagnostic accuracy in neoplasia  
15 (GPL according to WHOC 2005 or T1a glottic cancer) vs. non-neoplasia (NNP). This prespecified cut-off  
16 supported the Danish national guidelines[4] after which GPL and T1a glottic cancer are managed in a similar  
17 way with cordectomy whereas NNP usually is handled by other surgical or non-surgical treatment methods.

18 Certain morphological features like heterogenous color, irregular texture, thickness or asymmetry may cause  
19 a “typical neoplastic appearance” and some authors suggest that diagnostic criteria should include  
20 morphological abnormalities[11,25,34]. We only had very limited data on morphological features. The  
21 finding of an elevated or exophytic lesion, as opposed to a flat lesion, seemed to be a reasonably sensitive,  
22 but not very specific finding for neoplasia (n=185, sensitivity 0.85, specificity 0.26, data not shown  
23 elsewhere). However, such finding is not strictly related to any specific imaging modality. Finally, also  
24 common non-neoplastic lesions (e.g. granulomas or polyps) very often appear elevated or exophytic.

25 Therefore, we chose to omit data on morphological features from further calculations.

26 VS remain the initial, basic procedure in laryngological diagnostics for early detection of neoplastic  
27 tissue[3]. By merging of still-pictures extracted from several successive vibration cycles, VS allows for

1 visualization of the mucosal wave, which, in real time, occurs too fast for the human eye to perceive[14]. A  
2 reduced or absent mucosal wave raises the suspicion of infiltration into the deeper layers of the mucosa and  
3 thus an invasive lesion[3,25,13,11,14]. There are diagnostic challenges in the assessment of GPL[14], but  
4 most authors agree that normal, symmetrical mucosal waves excludes extensive vocal ligament  
5 invasion[1,13,11,14]. A fundamental limitation of VS is the dependency on a fairly stable phonation  
6 frequency and a periodic vocal fold vibration frequency to activate the strobelight. Unlike VS, the HSDI  
7 technique is frequency-independent and allows recording of aperiodic or aphonic sequences of  
8 vibration[9,16] and also in patients with very short phonation time or a strong gag reflex. Studies comparing  
9 VS and HSDI in patients with normal voices have shown good agreement between the two methods[16],  
10 whereas studies of abnormal voices have showed some important differences[9,16]. The drawbacks of HSDI  
11 compared to VS are a limited availability, a higher price, shorter duration of the recording, poorer image  
12 resolution and the compatibility only with rigid endoscopes. Based on the possible advantages of HSDI in  
13 the assessment of suspected neoplasia[15,24], HSDI was agreed on as a supplementary diagnostic method, in  
14 the initial phase of our study. However, due to limited access to the equipment, HSDI was only used in two  
15 of the centers. We defined a reduced/absent mucosal wave in VS or HSDI as a pathological finding. Our  
16 results regarding VS are in line with previous reports of a sensitivity as high as 0.86-1.00, but a much more  
17 variable specificity of 0.07-0.93[14,22]. Comparable results for HSDI are not available, but Volgger et al[24]  
18 reported a sensitivity of 100% and a specificity of 85.7% in the distinction between malignant and non-  
19 malignant in 34 lesions. We found a more moderate accuracy of HSDI in predicting neoplasia.

20 The use of SI with cordectomy was described by Zeitels[21] and adapted by other authors[5,1,6]. SI eases  
21 the phonosurgical dissection, prevents thermal trauma to the underlying vocal ligament in laser-resection and  
22 helps predict invasion of cancer into the vocal ligament, by leaving a depression in the mucosal surface  
23 caused by the incomplete lifting of the mucosa. Dependence of proper training in the procedure as well as the  
24 possible normal “ballooning” of the mucosa in cancers with very limited invasion of the basal membrane or  
25 an incomplete lifting of mucosa with benign scar tissue or in lesions very anteriorly or posteriorly has been  
26 described as diagnostic limitations of SI[5,21]. We defined an incomplete “ballooning” (partial or complete  
27 fixation of the mucosa) as a pathological finding of SI. There are only few reports on the value of SI for

1 comparison. Peretti et al.[5] reported a comparably high specificity (90 %), but a considerably higher  
2 sensitivity (83%) of SI in predicting malignancy in 52 patients, than we did in predicting neoplasia (32%).  
3 This difference may be explained by the use of different endpoints in the two studies, since our endpoint  
4 (neoplasia) included non-invasive GPL which are not expected to hamper the mucosal “ballooning” like an  
5 invasive, malignant lesion would.

6 A structured assessment of the vascular patterns of the vocal folds is now recommended as an integrated part  
7 of the evaluation of laryngeal lesions[17,35]. Vascular patterns hardly seen with white light, becomes better  
8 contrasted by EE and thus more visible. The NBI system centers the emitted wavelengths at 415 nm (blue  
9 light) and 540 nm (green light), which limits the penetration depth and facilitates the visualization of  
10 superficial vessel[23,34] whereas the SPIES system uses a standard light unit combined with a camera  
11 system capable of enhancing selected wavelengths of light in five different spectral ranges[35].

12 Two recent meta-analyses have shown a combined sensitivity of 81% and a specificity of 92% of NBI in the  
13 distinction of laryngeal cancer[36] and a combined sensitivity of 82-89% and a specificity of 82-93% in the  
14 distinction of neoplasia[19]. Studies of preoperative EE with flexible laryngoscopes have reported high  
15 sensitivities of NBI in diagnosing severe dysplasia, CIS or invasive cancer of 92.9-93.3% and specificities of  
16 88.9-97.3%[30,37]. In diagnosing CIS or invasive cancer, sensitivities of 88-97 % and specificities of 89.5-  
17 96% have been reported[38,18] whereas Shoffel-Havakuk et al[7] reported a more moderate performance of  
18 preoperative NBI in predicting invasive cancer with a sensitivity of 58.6% and a specificity of 61.2%. The  
19 authors of the latter study explained their estimates with evaluation of images taken relatively far from the  
20 lesion, poorer image quality of flexible endoscopy compared to rigid endoscopy and independent assessment  
21 by the observers. Those factors may also have influenced our comparable estimates of the preoperative EE.

22 Intraoperative rigid endoscopy with NBI was evaluated by Sifrer et al[39] who reported a very high  
23 sensitivity of 100% and a specificity of 95% in the distinction of severe dysplasia, CIS or invasive cancer  
24 and by Ni and colleagues[40] who found a high sensitivity of 88.9% and a specificity of 93.2% in the  
25 distinction of CIS or invasive cancer. Pre- and intraoperative EE have been compared with variable results.  
26 Piazza et al[41] found a significantly higher sensitivity of intraoperative vs. preoperative EE with NBI  
27 (sensitivity 98% vs. 61%), but comparable PPV (86% vs. 83%) whereas De Vito and colleagues[20] found

1 no statistically significant differences in the diagnostic performance (preoperative sensitivity/specificity  
2 97%/92.5% vs. intraoperative 97%/95 % (p=0.41)). Stanikova et al[42] compared preoperative EE with NBI  
3 to intraoperative EE with SPIES in laryngeal or hypopharyngeal lesions and found no significant differences  
4 in diagnostic performance. The SPIES and the NBI technique was also compared in a study of combined EE  
5 and contactendoscopy and the authors found that both techniques allowed for the recognition of  
6 neoangiogenesis found in neoplasia and both required a degree of experience to avoid misinterpretation[35].  
7 In our study, the intraoperative EE was superior to the preoperative EE in predicting neoplasia.  
8 Several classification systems of vascular changes have been suggested. The so-called Ni-classification from  
9 2011[40] is well-documented[38,43,20,44,18] and subdivides vascular patterns into five types I-V, of which  
10 type I-III represents longitudinal vessels and type IV and V represents visible, perpendicular intraepithelial  
11 papillary capillary loops (IPCLs) with increasing irregularity caused by a carcinogenic stimulus[19,17]. In  
12 2016 the European Laryngological Society (ELS) proposed a descriptive guideline of vascular changes  
13 suggesting a dichotomous distinction between benign (longitudinal vessels) and premalignant or malignant  
14 (perpendicular) vessels[17]. The ELS-guideline was subsequently evaluated to be reliable in predicting  
15 neoplasia[39,19]. This simplistic and practical approach and its distinction between NNP and  
16 premalignant/malignant (neoplasia) was compatible with the Danish national treatment strategy and  
17 therefore, applied in our study. The intraoperative finding of visible perpendicular vessels was the most  
18 accurate indicator of neoplasia in our study.  
19 A combined use of two or more diagnostic methods has been suggested of many authors to increase the  
20 diagnostic accuracy[5,15,9,22,26,6,17,23,7,24,25] and assessment of macroscopic appearance, mucosal  
21 vibration, and vascular changes[17] with all of the findings put into relation has been suggested in order to  
22 get the most reliable pre- and intraoperative assessment[17]. Our results support this suggestion.

### 23 Strengths and limitations:

24 Our study is prospective with involvement of five tertiary institutions and more than 20 doctors with varying  
25 levels of experience though reflecting a common clinical setting, and assesses several diagnostic tools used  
26 in daily clinical laryngological practice. For these reasons, the external validity of the study is high.

1 The study is however limited by some factors. A tertiary hospital setting may hamper the generalizability of  
2 the results to other types of institutions and the varied level of expertise among the involved doctors may  
3 have affected our results, bearing in mind an expected learning curve[45]. Furthermore, our dataset is not  
4 complete, and the missing data thus caused less precise estimates of diagnostic accuracy with wide  
5 confidence intervals. The use of imputed data compensated partly for this. Finally, the pre- and  
6 intraoperative assessment was not blinded, since the doctors who performed the evaluations were involved in  
7 the clinical care of the patients.

8

## 9 Conclusion

10 Our study reveals considerable diagnostic challenges in the pre-surgical prediction of neoplasia. None of the  
11 evaluated diagnostic test modalities seems adequate to stand alone. Based on our results we suggest that a  
12 pre- and intraoperative diagnostic set-up includes more than one diagnostic test modality and preferably  
13 visualization of the vasculature by EE. Future research in automated, less subjective diagnostic methods  
14 should be encouraged to further improve the diagnostic accuracy and thereby benefit patients with suspected  
15 neoplasia.

16

## 17 Compliance with ethical standards

18 All procedures performed in studies involving human participants were in accordance with the ethical  
19 standards of the 1964 Helsinki declaration and its later amendments. According to Danish legislation no  
20 Ethical approval was needed. Informed consent was obtained from all individual participants included in the  
21 study.

22

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1 **Table 1** Demographic and clinical characteristics of the entire study population (n =261)

Age at diagnosis, years	Mean, sd	64.9 (11.6)
	Median, range	66.2 (34.2-91.6)
Distribution among centers, n	Center 1	18
	Center 2	14
	Center 3	82
	Center 4	80
	Center 5	67
Gender (%)	Male	204(78.2)
	Female	57(21.8)
Tobacco use (%)	Never	20(7.7)
	Ex-smoker <sup>a</sup>	78(29.9)
	Current smoker	149(57.1)
	Unknown	14(5.4)
Histological diagnosis (%)	Non-neoplasia	79(30.3)
	Mild dysplasia	31(11.9)
	Moderate dysplasia	24(9.2)
	Severe dysplasia	30(11.5)
	Carcinoma in situ	7(2.7)
	Dysplasia, NOC	3(1.2)
	Invasive cancer	87(33.3)

2 *sd: standard deviation, NOC: not otherwise classified*

3 <sup>a</sup> *smoking cessation >3 months ago*

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1 **Table 2.** Testmodalities and their ability to identify neoplasia. Estimates of sensitivity, specificity, negative  
 2 and positive predictive values, and accuracy of each diagnostic test and relevant predictive findings along  
 3 with 95% confidence intervals

<b>Test modality</b>	<b>Predictor</b>	<b>N</b>	<b>Sens (%)</b> (95% CI)	<b>Spec (%)</b> (95% CI)	<b>PPV (%)</b> (95% CI)	<b>NPV (%)</b> (95% CI)	<b>AUC-ROC</b> (95% CI)
<b>VS</b>	<b>Impaired mucosal wave</b>	<b>169</b>	<b>85</b> (78-91)	<b>34</b> (22-48)	<b>74</b> (66- 81)	<b>51</b> (34-69)	<b>0.60</b> (0.52-0.67)
<b>HSDI</b>	<b>Impaired mucosal wave</b>	<b>60</b>	<b>89</b> (75-96)	<b>19</b> (4-46)	<b>75</b> (61- 86)	<b>38</b> (9-76)	<b>0.54</b> (0.43-0.65)
<b>EE, Preoperative</b>	<b>Visible perpendicular vessels</b>	<b>100</b>	<b>43</b> (31-56)	<b>62</b> (45-78)	<b>66</b> (49-80)	<b>39</b> (27-53)	<b>0.53</b> (0.43-0.63)
<b>EE, Intra-operative</b>	<b>Visible perpendicular vessels</b>	<b>209</b>	<b>58</b> (49- 66)	<b>76</b> (64-85)	<b>83</b> (73- 89)	<b>47</b> (38-57)	<b>0.67</b> (0.60-0.73)
<b>SI</b>	<b>Fixation of mucosa</b>	<b>237</b>	<b>32</b> (25-40)	<b>87</b> (77- 94)	<b>86</b> (74-93)	<b>35</b> (28-43)	<b>0.60</b> (0.54-0.65)

4 *VS: videostroboscopy, HSDI: high speed digital imaging, EE: enhanced endoscopy, SI: saline infusion, sens:*  
 5 *sensitivity, spec: specificity, NPV: negative predictive value, PPV: positive predictive value, AUC: area*  
 6 *under curve, ROC: Receiver Operating Characteristic, CI: confidence interval.*

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1 **Table 3.** Ability of clinically relevant combinations of test modalities in predicting neoplasia

<b>Test modality</b>	<b>Predictor</b>	<b>N</b>	<b>AUC-ROC (95% CI)</b>
<b>VS &amp; EE preoperative</b>	VS: impaired mucosal wave EE: visible perpendicular vessels	86	0.66 (0.53-0.78)
<b>HSDI &amp; EE preoperative</b>	HSDI: impaired mucosal wave EE: visible perpendicular vessels	24	0.56 (0.28-0.85)
<b>VS, HSDI &amp; EE preoperative</b>	VS: impaired mucosal wave HSDI: impaired mucosal wave EE: visible perpendicular vessels	24	0.71 (0.47-0.96)
<b>VS preoperative EE intra-operative</b>	VS: impaired mucosal wave EE: visible perpendicular vessels	147	0.70 (0.61-0.78)
<b>VS preoperative SI intra-operative</b>	VS: impaired mucosal wave SI: Fixation of mucosa	154	0.67 (0.58-0.75)
<b>VS preoperative EE&amp;SI intra-operative</b>	VS: impaired mucosal wave EE: visible perpendicular vessels SI: Fixation of mucosa	136	0.71 (0.62-0.80)

2 *VS: videostroboscopy, HSDI: high speed digital imaging, EE: enhanced endoscopy, SI: saline infusion,*

3 *AUC: area under curve, ROC: Receiver Operating Characteristic, CI: confidence interval*

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1 **Table 4** Logistic regression modelling of relevant explanatory variables in predicting neoplasia. Estimates of  
2 odds ratios for neoplasia are presented with and without imputation for handling missing data by use of a  
3 Multivariate Imputation by Chained Equations model.  
4 HSDI was omitted because of few observations almost all from one center

		<b>Complete case analysis</b>		<b>Analysis with imputation</b>		
		<b>(n=68)</b>		<b>(n=261)</b>		
		<b>Odds ratio</b>	<b>P-value</b>	<b>Number of</b>	<b>Odds ratio</b>	<b>P-value</b>
		<b>(95% CI)</b>		<b>imputed</b>	<b>(95% CI)</b>	
				<b>observations</b>		
<b>Age</b>		1.04	0.191	0	1.04	0.007
		(0.98-1.11)			(1.01-1.07)	
<b>Male gender</b>		0.16	0.012	0	0.22	0.000
		(0.04-0.66)			(0.11-0.44)	
<b>Smoking</b>	Never	(empty)		-	-	-
	Previously <sup>a</sup>	1.16	0.844	14	0.73	0.653
		(0.26-5.24)			(0.19-2.83)	
	Currently	- <sup>b</sup>		14	0.70	0.586
					(0.19-2.54)	
<b>Impaired/absent mucosal wave in VS</b>		3.12	0.120	92	1.84	0.133
		(0.74-13.12)			(0.83-4.07)	



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<b>Perpendicular vessels in preoperative EE</b>	0.64 (0.13-3.24)	0.592	161	0.73 (0.30-1.79)	0.498
<b>Perpendicular vessels in intra- operative EE</b>	4.98 (0.73-33.90)	0.101	52	2.56 (1.04-6.31)	0.041
<b>Partly or complete mucosal fixation in SI</b>	0.61 (0.07-5.38)	0.655	24	1.51 (0.58-3.88)	0.397
<b><i>Baseline odds</i></b>	<i>0.05</i> <i>(0.00-2.84)</i>	<i>0.145</i>		<i>0.72</i> <i>(0.06-8.49)</i>	<i>0.797</i>

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1 *VS: videostroboscopy, EE: enhanced endoscopy, SI: saline infusion, CI: confidence interval.*

2 <sup>a</sup> *smoking cessation >3 months ago*

3 <sup>b</sup> *omitted because of collinearity*

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# 1 Value of pre-and intraoperative diagnostic methods in suspected glottic neoplasia

2 European archives of otorhinolaryngology

3

4 Camilla Slot Mehlum, MD (1); Thomas Kjaergaard , MD, PhD (2); Ågot Møller Grøntved, MD (1); Nina  
5 Munk Lyhne, MD, PhD(3); Andreas Peter Schjellerup Jørkov, MD (4); Preben Homøe, MD, PhD, DMSc  
6 (4); Jesper Filtenborg Tvedskov, MD, PhD (5); Kristian Hveysel Bork, MD, PhD (5); Sören Möller, MSc,  
7 PhD (6); Gita Jørgensen, MD (1); Bahareh Bakhshaie Philipsen, MD (1); Christian Godballe, MD, PhD (1)

8

9 Corresponding author: Consultant Camilla Slot Mehlum, Department of ORL Head & Neck Surgery and  
10 Audiology, Odense University Hospital, J. B. Winsløvsvej 4, DK-5000 Odense, Denmark.

11 Email [Camilla.mehlum@rsyd.dk](mailto:Camilla.mehlum@rsyd.dk),

12

## 13 **Appendix .** Measured variables

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### 15 Patient characteristics

16 Age, gender, tobacco use, inclusion date, surgical department

17 Preoperative diagnostic set-up (explanatory variables marked with \*)

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#### VS:

19 Lesion type (elevated yes/no, exophytic yes/no)\*

20 Mucosal wave (normal/reduced/absent)\*

21

#### HSDI:

22 Mucosal wave (normal/reduced/absent)\*

23

#### EE:

24 vascular changes (perpendicular vessels yes/no/unknown)\*

25 Surgical procedure: (explanatory variables marked with \*)

26 Intraoperative EE used (yes/no)

27 If yes: vascular changes (perpendicular vessels yes/no/unknown)\*

28 SI used (yes/no)

29 If yes: lesion adherent to ligament (yes/partly/no)\*

30 Histology (response variable):

31 Non-neoplasia, mild dysplasia, moderate dysplasia, severe dysplasia, carcinoma in situ,  
32 invasive carcinoma, other invasive cancer

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