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## Fractal shaped periodic metal nanostructures atop dielectric-metal substrates for SERS applications

Sergey M. Novikov, Sergejs Boroviks, Andrey B. Evlyukhin, Dmitry E. Tatarkin, Aleksey V Arsenin, Valentyn S. Volkov, and Sergey I. Bozhevolnyi

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6 Fractal shaped periodic metal nanostructures atop dielectric-metal substrates for SERS  
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3 ABSTRACT: Controlled and reliable field enhancement (FE) effects associated with the  
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5 excitation of plasmons in resonant metal nanostructures constitute an essential prerequisite for  
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7 the development of various sensing configurations, especially those utilizing surface-enhanced  
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9 Raman scattering (SERS) spectroscopy techniques. Leveraging advantages of random  
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11 nanostructures in providing strong collective resonances in a broad wavelength range with the  
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13 design flexibility of individual gap plasmon resonators, we experimentally investigate fractal  
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15 shaped arrays of gap plasmon resonators and characterize the occurring FE effects by mapping  
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17 SERS signals from uniformly spread Rhodamine 6G with high-resolution Raman microscopy. In  
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19 such geometry, the total FE is expected to benefit from both FE associated with gap plasmon  
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21 excitation and FE due to constructive interference of the surface plasmon modes reflected and  
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23 diffracted by fractal shaped boundaries. Linear reflection imaging spectroscopy is used to verify  
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25 that the fabricated nanostructures exhibit spatially distributed resonances (bright spots) close to  
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27 the excitation wavelengths used for the Raman microscopy. The positions of bright spots are  
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29 argued to be influenced by fractal shaped boundaries, particle dimensions, polarization, and  
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31 wavelength of the incident and scattered light. Experimentally obtained SERS images from  
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33 similar fractal (gold) structures fabricated with different dielectric SiO<sub>2</sub> spacer thicknesses (0, 20  
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35 and 40 nm) featured diffraction-limited bright spots corresponding to local SERS enhancements  
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37 of up to  $\sim 10^7$  (relative to Raman signals obtained with a glass substrate) for 40-nm-thick SiO<sub>2</sub>  
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39 layers. Our results indicate that the strategy of combining fractal array geometry with gap  
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41 plasmon resonances is promising for the design of highly efficient SERS substrates for potential  
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43 applications in surface-enhanced multichannel sensing, including single-molecule spectroscopy.  
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3       KEYWORDS: plasmonics, field enhancement, SERS, scanning microscopy, fractals, linear and  
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5       nonlinear light scattering by nanostructures, gap surface plasmons.  
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## Introduction

Surface-enhanced Raman scattering (SERS) spectroscopy is a highly selective and non-destructive optical tool for chemical identification of molecular composition with very low, on the order of nM, concentrations of target molecules.<sup>1</sup> First observed as a scattering phenomenon in 1974,<sup>2</sup> SERS has been rapidly developing into a powerful spectroscopic characterization technique widely exploited in the ever-expanding range of applications ranging from biomolecular sensing, biochemistry and medical diagnostics to material and environmental science.<sup>1-5</sup> Recently, even the single-molecule detection of contaminants, explosives, and viruses was shown to be possible.<sup>6-9</sup>

The main physical mechanism behind SERS is related to strong electromagnetic field enhancement (FE),<sup>10</sup> which occurs due to resonant excitations of surface plasmons (SPs), i.e., collective electron excitations in metals coupled to electromagnetic fields in dielectrics.<sup>11-13</sup> In general, two types of resonant excitations can be distinguished: localized SP excitations in nanostructures of different shapes and compositions and propagating SP modes supported by metal-dielectric interfaces commonly referred to as surface plasmon polaritons (SPPs). The spectral positions of resonances can be tuned by changing the geometry (shape and size) of nanostructures, their constitutive materials, and the refractive index of the surrounding medium.<sup>1</sup> Judiciously engineered plasmonic nanostructures allow thereby for the realization of strong FE effects in different wavelength ranges.<sup>14-16</sup>

Various strategies have been suggested in order to realize strong and robust FE effects for applications in SERS.<sup>17-22</sup> From the applicational viewpoint, the design of highly efficient SERS substrates represents one of the most active research directions, motivating investigations of SERS enhancements that can be achieved in ensembles of surface nanostructures with

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3 sufficiently high surface coverage.<sup>1,23</sup> Large-scale realistic simulations of nanoparticle-based  
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5 SERS substrates indicate, while the SERS enhancement generally increases with the coverage,  
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7 very large individual FEs are not necessarily improve the SERS enhancement of close-packed  
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9 arrays.<sup>23</sup> Among plasmonic configurations ensuring relatively large and robust FE effects, metal  
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11 nanostructures separated by subwavelength-thin dielectric spacers from metal substrates, which  
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13 support highly confined plasmonic modes known as gap SPs (GSPs), are attractive because their  
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15 resonances are easily tuned by geometry and associated with the excitation of magnetic-dipole  
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17 current configurations with strongly suppressed radiative damping.<sup>24</sup> The underlying mechanism  
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19 of GSP resonators is similar to that of a Fabry-Perot resonator with the resonance occurring due  
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21 to constructive interference of GSP modes reflected at the resonator terminations.<sup>24-27</sup> The GSP  
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23 resonance characteristics can be controlled by modifying the spatial extent of the top  
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25 nanostructures, material, and thickness of the dielectric spacer gap width, with the possibility of  
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27 reaching extreme FEs for ultrathin gaps.<sup>28</sup>  
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33       Considering approaches to cover large substrate areas with nanostructures, the usage of fractal  
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35 patterns in the geometry of plasmonic nanostructures is appealing because these structures are  
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37 known to support multiple tunable resonances over broad spectral domains.<sup>29,230</sup> Generally, these  
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39 resonances are associated with the formation of subwavelength-localized areas with strong FEs,  
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41 so-called hot spots, in the regions of constructive interference of corresponding (localized and/or  
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43 propagating SP) modes.<sup>29</sup> Fractal structures featuring various FE effects have found diverse  
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45 applications,<sup>30</sup> ranging from subwavelength imaging,<sup>31</sup> broadband absorbers,<sup>32</sup> sensors,<sup>33</sup> to  
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47 graphene photodetectors.<sup>34</sup> We have previously explored light scattering by fractal shaped  
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49 periodic arrays of gold nanoparticles fabricated on thick gold films, and associated generation of  
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51 localized FEs.<sup>35,36</sup> The boundary of such structure is defined by the shape of the Mandelbrot  
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3 fractal,<sup>37</sup> and hot spots are produced inside the structure due to the interference of (excited by  
4 scattering of incident radiation) SPP waves that are reflected and diffracted at the boundaries.<sup>35,38</sup>  
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7 The underlying physical mechanism of hot spot generation<sup>35</sup> is therefore similar to the mode  
8 formation in acoustic fractal drums.<sup>39</sup> Extensive optical characterization of FE effects in these  
9 nanostructured arrays demonstrated that the possibility of reproducibly realizing an order of  
10 magnitude gain in SERS signal with respect to that measured with unstructured gold films.<sup>40-2</sup>  
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17 In this work, we take advantage of the aforementioned attractive features of individual GSP  
18 resonators, including efficient SPP excitation by GSP scattering,<sup>26</sup> by arranging cylindrical gold  
19 nanoparticles in a periodic array shaped by the Mandelbrot fractal<sup>35</sup> atop a thick gold film  
20 covered by a thin SiO<sub>2</sub> spacer layer of different thicknesses (0, 20 and 40 nm). We use scanning  
21 confocal Raman microscopes operating at the wavelengths of 532 and 632.8 nm to map SERS  
22 signals from Rhodamine 6G (R6G) homogeneously adsorbed on the fabricated samples. Linear  
23 reflection imaging spectroscopy is used to verify that the fabricated nanostructures exhibit  
24 spatially distributed resonances (bright spots) close to the excitation wavelengths used for the  
25 Raman microscopy. The positions of bright spots are argued to be influenced by fractal shaped  
26 boundaries, particle dimensions, polarization and wavelength of the incident and scattered light.  
27  
28 The SERS images from all fractal structures feature diffraction-limited hot spots corresponding  
29 to local SERS enhancements of up to ~27 and ~55 (relative to the fractal on the bare gold film)  
30 for 20- and 40-nm-thick SiO<sub>2</sub> spacer layers, respectively, with overall SERS enhancements of up  
31 to ~ 10<sup>7</sup> relative to Raman signals obtained with a glass substrate. Our results demonstrate that  
32 fractal shaped arrays of GSP resonators are promising for the design of highly efficient SERS  
33 substrates for potential applications in surface-enhanced multichannel sensing, including single-  
34 molecule spectroscopy.  
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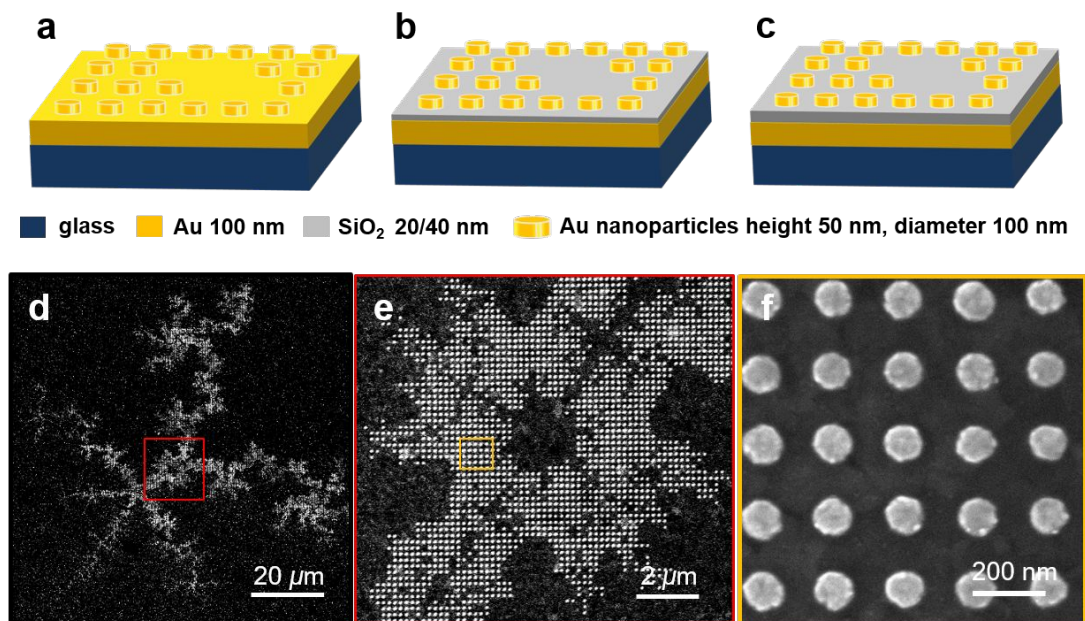


## RESULTS AND DISCUSSION

**Linear and optical characterization.** We fabricate 180-nm-period square arrays of cylindrical (height of 50 nm, diameter of 100 nm) gold nanoparticles (NPs) formed on a continuous smooth optically thick (120 nm) gold film (Figure 1a) and atop dielectric-metal substrates consisting of a similar thick gold film and SiO<sub>2</sub> layers (Figure 1b,c, Figure S1) using electron beam lithography (EBL). The boundaries of the arrays are defined by the shape of a segment of the Mandelbrot fractal. The thicknesses of dielectric layers are 20 nm and 40 nm. For more details on the fabrication, see the Methods section. The scanning electron microscope (SEM) images (Figure 1d-f) of a fractal with SiO<sub>2</sub> thickness 40 nm demonstrate the high quality and no visible defects of the fabricated samples. Before SERS investigations, the fabricated samples were characterized by optical microscopy with white-light illumination and linear reflection imaging spectroscopy (see Methods).

The optical white-light images of fractals obtained in co- and cross-polarizations with GSP resonator and NP arrays and demonstrate distinctly different features. The fractal structure formed on the bare gold film viewed with the co-polarized illumination produces a dark-shaded fractal structure, although with a very low optical contrast with (Figures S2a,d) due to weak NP resonances in the visible, most pronounced near 540 nm (Figure 1b). Similar fractal structures representing GSP resonators generate strong green colors (Figure 2a and S2b,c,e,f) due to strong absorption in the red part of the spectrum (Figure 2b) caused by the GSP resonances at these wavelengths.<sup>43,44</sup> The optical images of NP and GSP fractals obtained with the cross-polarized illumination, which can be considered as scattering images, show consequently weakly contrasted

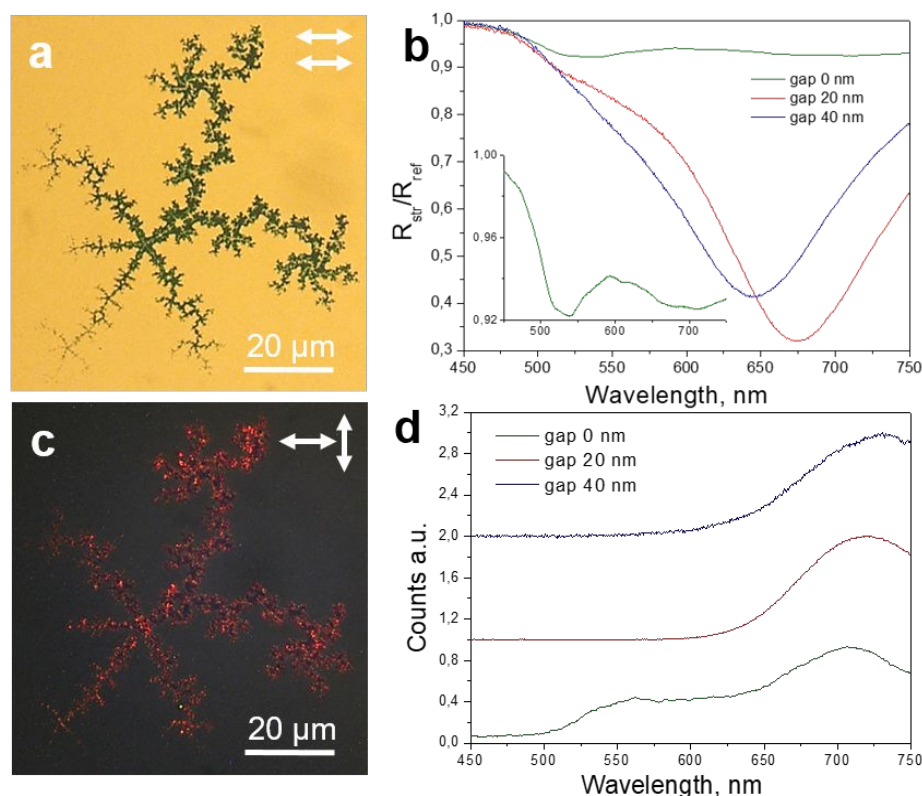
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3 greenish (Figure S2g) and bright red-colored images with very intense hot spots (Figure 2c and  
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5 Figure S2h,i).



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31 **Figure 1.** Schematic images of fractal structure a) on the bare gold film b) on the gold film with  
32 a layer of SiO<sub>2</sub> 20 nm c) on the gold film with a layer of SiO<sub>2</sub> 40 nm; d)-f) SEM images of a fractal  
33 with the thickness of SiO<sub>2</sub> 40 nm.  
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40 The sample characterization with the linear reflection imaging spectroscopy resulted in the  
41 spectrally resolved high-resolution images (see Methods) of each sample obtained with the co- and  
42 cross-polarized illuminations (Figure S3-S5). The reflection spectra obtained with the co-polarized  
43 illumination for all fractal samples indicate the presence of two (although differently pronounced)  
44 resonances, at ~550 and ~650-670 nm (Figure 2b). The short-wavelength resonance, practically  
45 disappearing for large spacer thickness (being transformed in progressively weaker shoulders in  
46 the resonance curves), is apparently associated with the excitation of individual NP resonances,  
47 i.e., practically without the influence of the gold substrate in case of GSP fractals.<sup>445</sup> The long-  
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wavelength resonance, very weakly pronounced for the NP fractal configuration, is ascribed to the occurrence of constructive interference of SPP or GSP waves excited by scattering of the incident light by nanostructures and reflected/diffracted by fractal shaped boundaries.



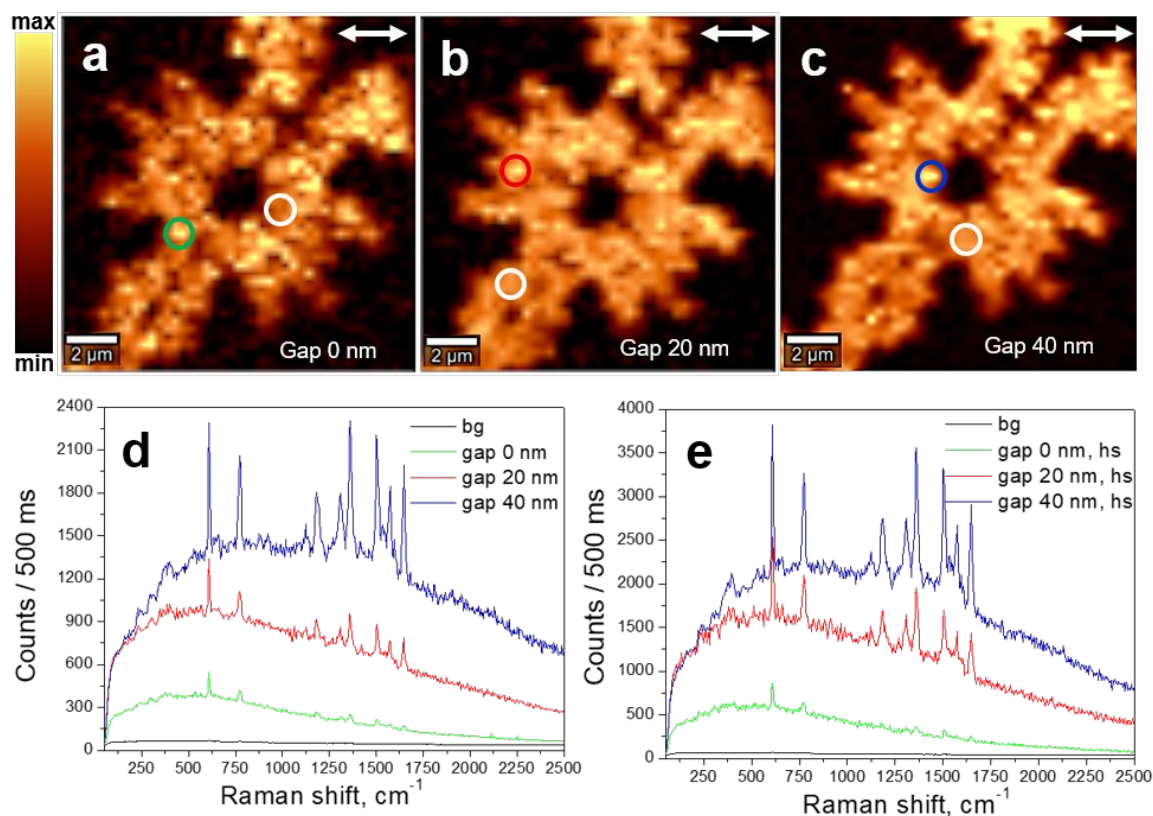
**Figure 2.** Optical images of the fractal structure fabricated on a substrate with a 40 nm SiO<sub>2</sub> gap obtained in a) co-polarized and c) cross-polarized detection and illumination; b) reflection and d) scattering spectra from typical hot spots in fractal images for various spacer thicknesses.

The collective resonance for the NP fractal configuration is very weak because the NP scattering efficiency of SPP excitation along with the SPP reflection by the fractal boundaries is small due to a relatively low NP height (and thereby volume).<sup>35</sup> The corresponding weak reflection minimum (inset in Figure 2b) is a result of competing trends: SPP absorption at shorter (< 600 nm)

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3 wavelength (that jeopardizes the SPP multiple scattering) and a rapidly decreasing with the  
4 wavelength NP scattering efficiency. The GSP excitation and scattering (and reflection by the  
5 fractal boundaries) are expected to significantly (in comparison) increase due to strong individual  
6 GSP resonances (as discussed above) and efficient excitation of SPP waves by GSP resonators.<sup>26</sup>  
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8 The GSP resonances become stronger and red-shifter for smaller spacer thicknesses,<sup>44</sup> resulting in  
9 a deeper and red-shifted minimum in the reflection spectrum for the 20-nm-thick spacer as  
10 compared to that for the 40-nm-thick one (Figure 2b). Essentially, smaller spacer thicknesses result  
11 in shorter GSP wavelengths (i.e., larger GSP effective indexes) and, consequently, stronger  
12 reflections at the resonator terminations and larger resonance (vacuum) wavelengths.<sup>27</sup> Note that,  
13 in this case, the same competing trends as above result in the trade-off being very close to the  
14 resonant wavelength of the constituent individual GSP resonators, enhancing thereby their effect  
15 as compared to the reflection minima observed for the individual GSP resonators.<sup>43,44</sup>  
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30 Spectrally-resolved fractal images obtained with the co-polarized illumination appear  
31 relatively homogeneous (Figure S2a-c), whereas the corresponding images with the cross-  
32 polarized illumination display a set of bright spots (Figure S3d-f), whose position and number  
33 correlates (not surprisingly) with the white-light bright fractal images obtained with the cross-  
34 polarized illumination (Figure S2g-i). At the same time, the fractal nature of GSP resonator array  
35 boundaries results in irregular multiple scattering and interference leading to different position-  
36 dependent scattering spectra, i.e., spectra obtained by spectrally-resolved microscopy from  
37 different regions with the cross-polarized illumination (Figure 2d and Figure S4). These  
38 noticeable differences confirm the collective nature of the observed resonances, since multiple  
39 GSP scattering, reflection by fractal boundaries and interference are expected to be noticeably  
40 influenced by the local (within the GSP propagation length) fractal shape.  
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**Raman characterization.** Characterization of the FE effects in fractal structures was conducted using the Raman microscopy (see Methods) with all samples being covered by an aqueous  $10^{-6}$  M solution of R6G for approximately 1 h and subsequently gently blown dry with compressed air. Typical SERS images obtained with all fractal structures replicate clearly the fractal geometry defined by the EBL, while also revealing several hot spots (Figure 3a-c).



**Figure 3.** a, b, c) Raman images obtained with the illumination at the wavelength of 532 nm by mapping Raman signals integrated over  $1465\text{--}1620\text{ cm}^{-1}$  from R6G adsorbed on fractal NPs with a) gap 0 nm, b) gap 20 nm, c) gap 40 nm d) Typical Raman spectra recorded from the points outside hot spots, marked with white rings. e) Raman spectra of selected hot spots marked as green, red, and blue rings on the a-c). Reference spectra (bg - in the legend) were obtained from the bare gold film.

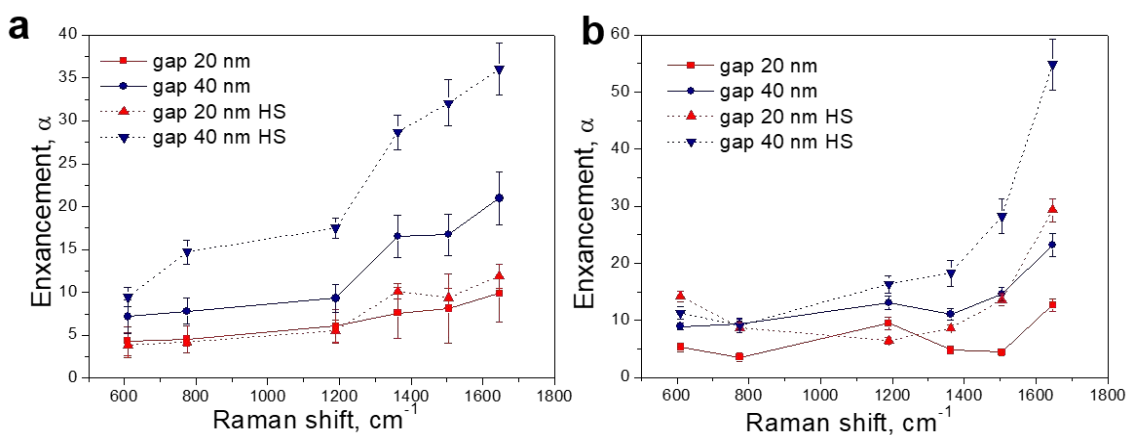
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5 Based on our previous investigations involving both two-photon luminescence microscopy,<sup>35,38</sup>  
6 and SERS,<sup>40</sup> we attribute hot spots to the occurrence of constructive interference of SPPs (in the  
7 case of gold NPs fabricated on a bare gold film) and GSPs (in the case of gold NPs fabricated on  
8 a SiO<sub>2</sub> spacer supported by the gold film), which are excited by the incident radiation (due to the  
9 scattering by NPs) and partially reflected by fractal shaped boundaries.  
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17 Raman spectra demonstrate that the intensity of Raman peaks is dramatically enhanced by using  
18 the GSP fractal configurations in comparison with the NP structures fabricated on the bare gold  
19 film metal, with the strongest enhancement achieved with the 40-nm-thick SiO<sub>2</sub> spacer (Figure  
20 3d,e). The observed difference in SERS signals obtained with GSP resonators and those with NP  
21 structures is a result of stronger interaction of incident radiation with GSP resonators and more  
22 efficient excitation of SP modes. This substantially changes the resonance excitation condition in  
23 the system and adds an additional degree of freedom for their control by controlling the gap  
24 thickness. The difference in the observed SERS enhancements for different fractal configurations  
25 is consistent with the features of optical resonances observed in the corresponding reflection  
26 spectra (Figure 2b). We believe that the Raman signals obtained with the 40-nm-thick SiO<sub>2</sub> spacer  
27 are additionally enhanced due to the relative (to the 20-nm-thick SiO<sub>2</sub> spacer) increase in the  
28 scattering and decrease in the absorption of the GSP resonators<sup>46</sup> that facilitate emission (re-  
29 radiation) of Raman-shifted photons.<sup>1</sup> The Raman signals obtained from the hot spots (Figure 3e)  
30 have the strengths of up to 3 times higher in comparison with those collected from the places  
31 outside the hot spots (Figure 3d). Importantly, the hotspot positions are determined by the  
32 configuration of fractal structures, the dielectric gap, and resonant field frequency. The occurrence  
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3 of SERS hotspots is a reproducible feature of this system and, therefore, can be used for the  
4 development of improved SERS structures and their potential applications.  
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8 A similar trend was observed with the SERS spectra obtained with the excitation laser operating  
9 at the wavelength of 632.8 nm (Figure S4), although the difference between the SERS signals from  
10 the GSP fractals and those from the NP fractal became significantly larger (cf. Figure 3d,e and  
11 Figure S7a,b). This difference increase matches well the increase in the difference between the  
12 corresponding reflection spectra when comparing the pump wavelengths of 532 and 632.8 nm  
13 (Figure 2b). It should, however, be noted that the above comparison is conducted for the SERS  
14 signals that also include the background fluorescence contribution, which is primarily driven by  
15 the absorption of the pump illumination that also determines the reflection spectra. Raman  
16 scattering and fluorescence are two competing phenomena, and the laser-induced fluorescence is  
17 a source of omnipresent background signals. If the metal surface is rough or nanostructured, the  
18 intensity of fluorescence can also be enhanced, a phenomenon that is termed surface-enhanced  
19 fluorescence. This phenomenon is also observed in the experiments with our structures. The  
20 fluorescence background is stronger for GSP configurations than that for NP structures, a tendency  
21 that is similar to that observed for SERS signals. In order to quantify the benefit of using GSP-  
22 based configurations with respect to the SERS signals appearing on the top of the fluorescence,  
23 we carefully subtracted the average fluorescence background and compared levels of six main  
24 R6G Raman peaks normalizing their signals by those obtained with the NP (zero-spacer-thickness)  
25 fractal configuration (Figure 4). The corresponding SERS enhancements (relative to the NP fractal  
26 configuration) are up to ~11 and ~37 (with the 532-nm-wavelength pump) and ~27 and ~55 (with  
27 the 632.8-nm-wavelength pump) for the GSP fractal configurations with the 20- and 40-nm-thick  
28 SiO<sub>2</sub> spacers, respectively. It is thereby seen that also the pure SERS signal enhancements follow  
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the differences in the reflection spectra for these wavelengths (Figure 2b). These features are also consistent with the conclusions of the previous experimental investigations using scanning two-photon photoluminescence microscopy that GSP resonator arrays significantly enhance local electric fields.<sup>27</sup>



**Figure 4.** Relative Raman enhancement estimated as the relation between intensity obtained from metal nanostructures atop dielectric-metal substrates and intensity obtained from the fractal on the bare gold film with a) wavelength 532 nm, b) wavelength 632.8 nm. HS - hot spots.

The analysis of Raman images recorded by counting Raman signals from different spectral regions of R6G Raman signals (for instance,  $610\text{--}625\text{ cm}^{-1}$  and  $1465\text{--}1620\text{ cm}^{-1}$ ), allows one to observe the associated variations in the strength of some hot spots (Figure S8). A number of the bright spots change their mutual strength, while some appear only in one of the images (e.g., spots 1 and 2 indicated by white circles in Figure S8). At the same time, the data used for the generation of both these images were recorded simultaneously, making up the same set of spatially-resolved Raman spectra. The fact, that these two images (Figure S8) generated by selecting different peaks in the spectra (i.e., at  $610\text{--}625\text{ cm}^{-1}$  and  $1465\text{--}1620\text{ cm}^{-1}$  corresponding to the wavelengths of  $\sim 552$  and  $\sim 583$  nm, respectively, when using the 532-nm-wavelength pump) are not identical,



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3 indicates that the local field enhancements determining SERS signals have spatially different  
4 spectra, as also seen directly from the scattering spectra obtained at different locations (Figure S6).  
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6 We will not go into a detailed discussion of these issues since there is a number of publications  
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8 specifically devoted to this matter.<sup>47-49</sup> The previously formulated conclusion that the differences  
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10 in scattering spectra confirm the collective nature of the observed resonances, i.e., their origin in  
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12 multiple GSP scattering, reflection by fractal boundaries and interference, can thereby be also  
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14 supported with the discussed Raman images and signals (Figure S8). It should also be borne in  
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16 mind that the local FE at other spatial positions might be at resonance outside the wavelength range  
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18 probed via mapping the R6G Raman signals, implying that the maximum SERS enhancements  
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20 achievable with these configurations can be even higher. Finally, we would like to emphasize that  
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22 the hot spots observed in the Raman images do not originate from uneven distributions of R6G  
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24 pigment or fabrication defects. In order to support this conjecture, we fabricated square-shaped  
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26 arrays (see Methods) with the parameters used in the fractal-shaped structures and conducted  
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28 SERS microscopy imaging of these arrays. The SERS images obtained with the square-shaped  
29  
30 arrays exhibit rather homogeneous signal distributions without hotspots (Figure S7). These  
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32 observations confirm our supposition that the presence of hotspots in SERS images of fractal  
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34 structures is not associated with the effect of uneven deposition of dye molecules.  
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42 Furthermore, the comparison of high-resolution SEM images of the fractal structures and their  
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44 SERS images demonstrates a very good correlation without any apparent defects (Figure S10).  
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47 To compare the signal increase in SERS with that in ordinary Raman while keeping the same  
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49 experimental parameters, the analytical enhancement factor (EF expression) is used.<sup>50</sup> The  
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51 average EF is determined by comparing the signals acquired from R6G at a concentration of  $10^{-2}$   
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M on a glass substrate, with the signals obtained from  $10^{-6}$  M of R6G on the fractal structures on the metal and on the MIM structures. The following equation is used:

$$EF = \frac{I_{SERS} C_{REF}}{I_{REF} C_{SERS}} \quad 1$$

Where the  $I_{SERS}$  and  $I_{REF}$  correspond to background-subtracted intensities of R6G adsorbed on the fractal structures and the glass substrate, respectively.  $C_{SERS}$  and  $C_{ref}$  represent the corresponding concentrations of R6G on these structures. The average EF values are estimated to be  $\sim 0.25 \times 10^6$  (for the fractal on the bare gold film),  $\sim 0.28 \times 10^7$  (for the fractal on the 20-nm-thick  $\text{SiO}_2$  spacer) and  $\sim 0.93 \times 10^7$  (for the fractal on the 40-nm-thick  $\text{SiO}_2$  spacer) in the case of the laser 532 nm respectively. In the case of using of the laser 632.8 nm the EF values are estimated to be  $\sim 0.17 \times 10^6$  (for the fractal on the bare gold film),  $\sim 0.44 \times 10^7$  (for the fractal on the 20-nm-thick  $\text{SiO}_2$  spacer) and  $\sim 0.83 \times 10^7$  (for the fractal on the 40-nm-thick  $\text{SiO}_2$  spacer) respectively. We should stress the hot spots can be damaged by local heating and the R6G is easy to destroy, and during the scanning, it was necessary to find a compromise between the signal and good resolution, that is why the real enhancement estimation would be 1 or even 2 orders of magnitude higher.

## CONCLUSIONS

To summarize, we use Raman microscopy to map SERS from R6G with a concentration of  $10^{-6}$  M uniformly spread on the cylindrical gold nanoparticles in a periodic array (shaped by the Mandelbrot fractal) atop a thick gold film covered by a thin  $\text{SiO}_2$  spacer layer of different thicknesses (0, 20 and 40 nm). In order to estimate the benefit of using GSP-based

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3 configurations, we compared levels of the six main R6G Raman peaks. The SERS images from  
4 fabricated samples featured diffraction-limited bright spots corresponding to local SERS  
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6 enhancements of up to  $\sim 27$  and  $\sim 55$  (relative to the fractal on the bare gold film) for 20- and 40-  
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8 nm-thick SiO<sub>2</sub> spacer layers, respectively. Overall SERS enhancement factor, relative to Raman  
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10 signals obtained with a glass substrate, was estimated to be up to  $\sim 10^7$ . The position of bright  
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12 spots is dependent on the fractal shaped boundaries, particle dimensions, polarization, and  
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14 wavelength of the incident and scattered light. Linear reflection spectroscopy was used to verify  
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16 that nanostructures exhibit resonances close to excitation wavelengths used for the Raman  
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18 microscopy. The obtained results demonstrate that the combination of two complementary  
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20 design strategies for field enhancement in SERS substrates - GSP resonators and fractal-shaped  
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22 array boundaries is a perspective approach for the development of SERS substrates for surface-  
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24 enhanced multichannel sensing, e.g., SERS based diagnostics, single-molecule spectroscopy and  
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26 other kinds of bio-molecule optical sensing.  
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## 35 METHODS

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37 **Fabrication.** The samples were fabricated using standard EBL and lift-off techniques. First, the  
38 substrates were prepared: 2 nm of Ti and 120 nm of Au and another 2 nm layer of Ti were  
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40 deposited in a Cryofox 600 Explorer chamber using thermal evaporation at rates 0.01 nm/s for Ti  
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42 and 0.1 nm/s for Au respectively. Thin titanium layers were introduced as they promote adhesion  
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44 of the gold to the silicon wafer and adhesion of subsequently deposited SiO<sub>2</sub> to the gold layer.  
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46 After that, the wafer was cut into smaller chips of sizes  $\sim 1 \times 1$  cm<sup>2</sup>. Further, several chips were  
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48 covered with  $\sim 20$  nm layer of SiO<sub>2</sub>, another part of chips with  $\sim 40$  nm and the remaining chips  
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50 were left intact. SiO<sub>2</sub> deposition was performed in the same chamber, but using radio-frequency  
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3 sputtering of SiO<sub>2</sub> target at 200 W power. Hereafter 100 nm of e-beam resist - polymethyl  
4 methacrylate (PMMA) (950k A2, purchased from Microchem) - was spin-coated onto the wafer  
5 at 500 RPM for 5 s and 1600 RPM for 45 s and subsequently baked on a hot plate at 180 °C for  
6 120 s. Locations of nanoparticles in the fractal pattern were calculated to form an array with 180  
7 nm period, which fills the inner region of a small branch of the Mandelbrot fractal<sup>35</sup> extending  
8 over ~ 100×100 μm<sup>2</sup>. The pattern was exposed as dots using the ELPHY EBL Quantum system  
9 attached to a JEOL JSM6490 SEM. Additionally, the square-shaped 15×15 μm<sup>2</sup> arrays with the  
10 same periodicity were patterned. After the exposure and development using diluted methyl  
11 isobutyl ketone (MIBK) solvent, another stack of 2 nm Ti and 50 nm Au was deposited. After  
12 the exposure and development using diluted methyl isobutyl ketone (MIBK) solvent, another  
13 stack of 2 nm Ti and 50 nm Au were deposited. Finally, the lift-off procedure was performed: the  
14 sacrificial PMMA layer was etched in acetone overnight, and the samples were subsequently  
15 cleaned with isopropanol.

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33 **SEM.** We used a JEOL JSM6490 and JEOL JSM-7001F scanning electron microscopes (SEM)  
34 for the characterization of samples.

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38 **Optical characterization.** Optical images were acquired using a Zeiss Observer microscope  
39 (EpiplanNeofluar HD objective ×100, NA=0.90). Linear polarizer and analyzer were used to  
40 select appropriate polarization of the illumination and detected light. A standard tungsten-  
41 halogen lamp was used as illumination. The spectroscopic reflection analysis was performed  
42 using the Andor's Kymera 193i spectrograph equipped with the Andor's Newton CCD camera.  
43 The width of the slit of the spectrometer was adjusted so that the image area analyzed by the  
44 spectrometer is ~2×80 μm. The spectral portrait of each sample was obtained by scanning across  
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3 the structures with a step of 2  $\mu\text{m}$ . Reported spectra were normalized to a reference spectrum,  
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5 obtained outside fractals in the same conditions.  
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8 **Raman microscopy.** The experimental setups used for Raman microscopy are: 1) The  
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10 commercially available confocal scanning Raman microscope (Alpha300R, Witec). The  
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12 measurements were obtained using linearly polarized excitation of wavelength 532 nm, 600  
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14 lines/mm diffraction grating, and  $\times 100$  objective (N.A. = 0.90). 2) The confocal scanning  
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16 Raman microscope Horiba LabRAM HR Evolution. The measurements were obtained using  
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18 linearly polarized excitation of wavelength 632.8 nm, 300 lines/mm diffraction grating, and  $\times 100$   
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20 objective (N.A. = 0.90). We use unpolarized detection in order to have a significant signal to  
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22 noise ratio. Detailed SERS images were formed by mapping the spatial dependence of SERS  
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24 intensity integrated around the main Raman peaks within the shift range 1560-1650  $\text{cm}^{-1}$  for each  
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26 of the  $28 \times 28$  points (step size 350 nm) in the scan, incident powers  $P \sim 0.08$  mW and an  
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28 integration time of 500 ms at each point.  
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### 33 34 ASSOCIATED CONTENT

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37 **Supporting Information.** Additional figures with results of the characterization of the fractal  
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39 shaped structures.  
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### 41 42 AUTHOR INFORMATION

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#### 50 51 **Author Contributions**

52  
53  
54 S. I. B. conceived the experiment. S. B. designed and fabricated the samples. S. B. and S.M.N.  
55  
56 performed the electron microscopy (SEM). S. M. N. and D.E.T performed the Raman  
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3 measurements, V. S. V. and A. B. E. conducted optical spectroscopy. S. M. N., S. B. and A.V. A.  
4 drafted the manuscript. All authors discussed the results and commented on the manuscript. S. I.  
5  
6  
7 B. supervised the project.  
8  
9

## 10 **Notes**

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13 The authors declare no competing financial interests  
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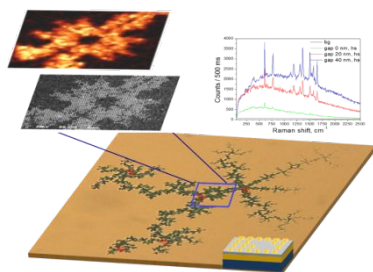
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#### TOC/Abstract Graphic



Fractal shaped periodic metal nanostructures atop dielectric-metal substrates for SERS applications  
S. M. Novikov, S. Boroviks, A. B. Evlyukhin, D. E. Tatarkin, A. V. Arsenin, V. S. Volkov and S. I. Bozhevolnyi.

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Experimentally measured the SERS spectra from the fractal shaped structures on the gold film with a spacer of SiO<sub>2</sub>